## PRECISION CMOS RECEIVERS FOR VLSI TESTING APPLICATIONS

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# Abstract

Testing CMOS parts is becoming more difficult due to the proliferation of high-speed I/O circuits that operate at frequencies exceeding the performance capabilities of modern testers. The performance gap between high-speed chip I/O frequencies and tester frequencies is further extended by the rapid performance scaling of CMOS, compared to bipolar and GaAs technologies which are commonly used in tester electronics. Furthermore, as VLSI parts integrate increased amounts of functionality and become more complex, testing of the parts becomes more difficult due to insufficient observability of the high-speed interactions between circuits within the chip. Integrating high-speed test capabilities onto production die would permit testing of parts incorporating high-frequency I/O in addition to increasing the observability of internal signals on the die.

The key challenge is to achieve high-precision timing measurements using a process technology that may be no better than the one used to build the part being tested. To overcome the frequency limitations of the process technology, an oversampled receiver with time-interleaved samplers clocked by a multi-phase clock generator is utilized. While this enables a high receiver sample rate, sampler input offsets and static phase spacing errors in the clocks limit timing accuracy. This dissertation presents techniques to measure and compensate for static errors in both the clock generator and input samplers. In addition to static errors, jitter in the clock generator can significantly degrade timing accuracy. Therefore, a technique that measures and subtracts jitter from the timing measurements is proposed.

The aforementioned techniques enable the construction of an input receiver with timing accuracy suitable for testing applications and are demonstrated with a 0.25µm CMOS test chip. Techniques are also presented to integrate a small oversampling receiver onto VLSI parts to increase observability and enable timing measurements of internal signals.

# Acknowledgments

"Art is I; science is we." - Claude Bernard

While this work solely bears my name as the author, it is actually the result of efforts on the part of many people.

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My parents taught me the importance of dedication, perseverance, and work ethic, and as a result, my dissertation is a product of their efforts as much as it is of mine. My brother, John, and sister, Karen, have provided me with much support and encouragement.

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# Introduction

"If I had more time, I would write a shorter story."

#### - Mark Twain

Every CMOS VLSI chip that is produced needs to be tested to ensure it was manufactured correctly. Test and possible debug has always been a challenging task that requires specialized hardware "testers." Furthermore, the rapid scaling of chip performance is making test increasingly difficult. Until recently, testers were able to leverage process technologies with intrinsic performance greater than CMOS to obtain sufficient timing capabilities to accurately test CMOS parts. However, because the performance of CMOS technology has scaled faster than the performance of other process technologies, using non-CMOS process technologies to build testers suitable for testing modern VLSI parts is becoming more difficult.

CMOS process scaling has not only enabled faster clock rates, but also increased chip functionality. As technology scales, more complex designs can be integrated on a chip to improve performance, because on-chip communication is vastly faster than external interconnects. However, an undesirable effect of integration is a reduction in the observability of the system, and, as a result it is more difficult and costly to test and debug a part. Building the tester pin electronics in CMOS and in some cases, even integrating the pin electronics into production parts can address both performance and observability and lead to easier test and debug. This thesis addresses key issues in building high-speed testers in CMOS.

## **1.1 Test Overview**

The purpose of a VLSI tester is to drive a part with known values and to verify that the outputs of the part are correct. In addition to pass/fail production test, testers are also used for debugging and performance characterization. A block diagram of a tester's basic

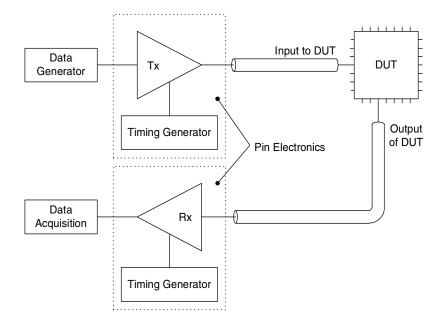


Figure 1.1: Basic tester architecture

function blocks is shown in Figure 1.1. While this figure only shows a single transmitter and receiver channel, modern testers are typically composed of hundreds, or thousands, of such channels. The *device under test* (DUT) is socketed on a custom printed circuit board (PCB), known as a *load board*, that interfaces the part to the tester. The tester drives the DUT with data vectors that are either algorithmically generated at run time or pregenerated and stored in a memory. The tester samples the DUT outputs and compares them to expected values which are also read from memory or generated on-the-fly. The pin electronics drives input data and samples the DUT with specified timing. Building high-speed pin electronics with precise timing that can scale with the performance of CMOS parts is a significant challenge.

The I/O frequencies of CMOS parts have historically scaled in a very limited manner primarily due to signal integrity issues at the system level [15].<sup>1</sup> This has been beneficial for test because the same tester could be used to test multiple generations of CMOS parts. But eventually higher speed I/O is required because insufficient chip I/O bandwidth limits the part functionality. This problem has been partly addressed by increasing the number of

<sup>1.</sup> As an example, the I/O bus on Intel IA32 processors has increased in frequency by a factor of four (33MHZ to 133Mhz) over a period of roughly a decade (from 1990 to 2000). However, processor performance has increased by roughly a factor of thirty over the same period.

I/O pins with advanced packaging techniques, such as flip-chip bonding, but these solutions are limited by routing considerations in both the chip substrate and the printed circuit board. Further efforts have focused on high-speed I/O techniques, which are becoming an increasingly common method for improving system bandwidth. This is evident in high-end networking chips, which can have I/O frequencies in excess of 3GHz [45], and in more mainstream systems, such as personal computers, that contain a number of parts with high-speed interfaces such as RDRAM [44], DDR-SDRAM [43], and AGP [42] graphics chips.

Testing parts with high-speed I/O is difficult because data must be driven and sampled by the tester at high frequencies and with precise timing. In the past, manufactures of test equipment have been able to leverage the intrinsic performance of expensive process technologies, such as Gallium Arsenide (GaAs) and Silicon bipolar. These technologies, while not supporting the integration densities of CMOS, have had faster devices and thus could be used to build a tester with sufficient performance to test CMOS parts. However, the performance of CMOS technology now matches or exceeds the performance of many GaAs and bipolar technologies, primarily due to the larger research and development efforts directed towards it. The result is that performance of technologies that have historically been faster than CMOS, such as GaAs, can no longer be leveraged to build testers capable of testing the fastest CMOS parts.

The increase in chip and I/O frequencies has not only made traditional testing more difficult but has also created a demand for more advanced test and measurement capabilities. Modern testers obtain minimal timing information because they only test the output of a part at specified times for the correct value. However, knowing when edges transition is more useful when dealing with timing issues. For debugging, edge transition information allows a better understanding of the characteristics and effects of jitter. For production, this information can potentially enable faster characterization of part performance and margins.

An alternative to building parts with high-speed I/O is integrating the parts that must communicate at high speeds onto a single chip (termed a *system-on-a-chip* or *SOC* for short). While this avoids the problems of testing parts with high-speed interfaces, the

communication between components integrated on the SOC can no longer be easily observed because probing on-chip signals is much more difficult than probing printed circuit board traces. This makes test and debug more difficult and expensive. A solution to this problem is to embed part of the tester onto the die so that it can capture the state of the internal signals and restore observability.

## 1.2 Goals

The goal of this thesis is to build a CMOS input receiver with high timing accuracy and edge-detection capabilities that is suitable for both stand-alone and embedded testing applications. This work is focused on receivers rather than transmitters because edge detection requires more sophisticated receivers, but not transmitters, and for embedded applications, a receiver is more useful because it increases circuit observability.

#### 1.2.1 Organization

To better understand tester constraints and technology options, Chapter 2 surveys the evolution of tester technology and existing research work. This includes state-of-the-art testers, experimental CMOS tester architectures and future trends. Challenges and requirements of next-generation testers are described which leads to a promising approach, CMOS oversampled receivers. Oversampled receivers can record detailed timing information and are well suited for implementation in CMOS. CMOS however, has not been historically competitive with GaAs and bipolar for building circuits with precise timing. Therefore, Chapter 3 investigates the timing limitations of a CMOS oversampled receiver. It includes the sources and characteristics of timing errors and compensation techniques which make CMOS timing accuracy competitive with other process technologies. Chapter 4 explores the implementation issues of a CMOS, multi-channel, oversampled receiver and presents experimental results for the compensation techniques presented in Chapter 3. As parts gets larger and more complex, integrating tester receivers onto production part becomes more attractive. Chapter 5 considers the issues involved including required hardware, inter-connect issues, and data processing. Chapter 6 concludes this work.

# Background

"A man with a watch knows what time it is. A man with two watches is never sure."

#### - Segal's Law

The evolution of VLSI parts has required changes in tester design. In the past, the changes have been primarily focused on I/O pin density rather than operating frequency or timing accuracy because the technologies used to build pin electronics, bipolar and GaAs, were well suited for high performance applications, but less capable of supporting high levels of integration. Prior research has explored CMOS alternatives to address the integration issues, but because of the performance gap between CMOS and bipolar or GaAs, pin electronics continue to be built with GaAs or bipolar.

CMOS is a very attractive technology because the performance and integration is scaling at a sustained rate that is faster than any other process technology. The next section examines how the advantages of CMOS relate to testing and the potential benefits of a CMOS tester. This is followed with two sections, 2.2 and 2.3, that expand on integration and performance issues, which are the two primary issues confronting the design of testers. All described in Section 2.3 are some promising circuits that provide sufficient performance for high speed test and prepares the reader for a more detailed examination of the timing issues presented in Chapter 3.

## 2.1 The Allure of CMOS

Tester pin electronics are commonly built in GaAs and bipolar technologies because they have historically had a performance advantage over CMOS, but the rapid scaling of CMOS technology makes it an attractive alternative, as evident in Figure 2.1. Because of

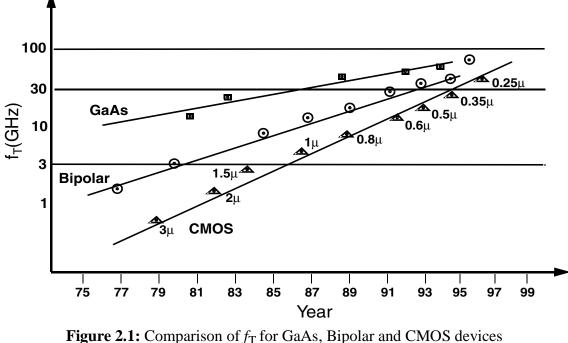


Figure 2.1: Comparison of  $f_T$  for GaAs, Bipolar and CMOS devices (Courtesy of C.-K. K. Yang)

the rapid scaling of CMOS, extrapolating the data in Figure 2.1 indicates that in the near future, the performance of CMOS devices will exceed that of GaAs and bipolar devices.

While the performance of CMOS devices is just becoming comparable with GaAs and bipolar devices, CMOS does have the distinct advantage of superior process integration. This enables the construction of highly integrated testers that can support the large numbers of pins required by modern parts. Using technologies with less integration capabilities than CMOS results in physically large and bulky machines, such as shown in Figure 2.2.<sup>1</sup> Furthermore, GaAs and bipolar solutions typically consume more power than equivalent CMOS solutions<sup>2</sup> and testers built with these technologies can require 100 or more watts per pin [41]. Extracting the resultant heat can further limit the density of the

<sup>1.</sup> To be fair, the pin electronics do not fill the entire tester shown in Figure 2.2. The rectangular box contains power converters, auxiliary test instrumentation (such as pulse generators or time interval analyzers), and sometimes a workstation for control. The circular unit, called the *test head*, contains digital parts for vector storage and generation, in addition to pin electronics and timing circuitry, which drive and sample the DUT with precise timing.

<sup>2.</sup> Finding similar CMOS and bipolar parts to enable a comparison of power is difficult. However, bipolar is generally regarded as higher power and as an example, a sixteen channel CMOS tester part [12] discussed later in this chapter consumes less than 1W, while a three channel bipolar part built by AMCC for MegaTest consumes over 5W [3].



Figure 2.2: A modern VLSI tester (Teradyne J973)

electronics. Because of these integration constraints, bipolar and GaAs pin electronics are usually not highly integrated and one or more parts are required per pin. However, given the integration potential of CMOS, significantly more integrated testers are feasible. A decade old CMOS part presented in the next section integrates sixteen I/O channels and it is reasonable to envision even higher integration using more modern CMOS processes.

In a sense, modern chip testers are analogous to mainframe computers. They are both hand assembled to custom specifications provided by the customer, they eschew highintegration for the sake of performance and the result is similar: large, expensive machines that cost millions of dollars. In years past, the cost of a mainframe was justified by using it to serve many users via remote terminals. Modern testers are similar: to lower the amortized cost of testing, many parts are tested in parallel on a single tester.

The problem with the mainframe model is that despite being amortized across many desktops, they are still expensive. Furthermore, the complexity of the machines makes the design cycles long. For processors, new and simpler solutions that better leverage the advantages of CMOS have significantly closed the performance gap. The result is that mainframes are now confined to a niche market, while personal computers proliferate. If testers were to follow a similar path, the result would be smaller, less expensive and higher-performance machines.

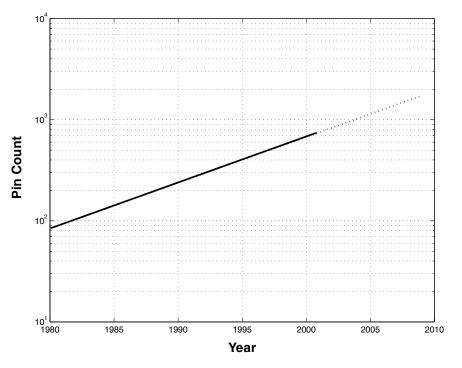


Figure 2.3: Pin count trends

# 2.2 I/O Channel Requirements

The cost and size of a tester is greatly influenced by the number of I/O channels it contains. Unfortunately, as CMOS VLSI parts increase in performance and functionality so do the I/O requirements. This is quantified by the empirical formula known as Rent's rule:

$$Np = Kp \cdot Ng^{\beta},$$

where Np is the number of external connections, Ng is the number of gates on the chip, and Kp and  $\beta$  are empirically determined constants. When originally formulated, this equation assumed that the I/O speed was the same as that of the internal clock, however, in modern parts this is not the case. Nevertheless, the observation that an increase in I/O is required as parts become larger and more complex, is still valid and historical data indicates that the number of I/O pins on a chip has scaled by about 12% per year as shown in Figure 2.3 [8]. Contemporary testers can have thousands of pins and must continue to scale with the pin counts of VLSI parts. Physically large pin electronics are required to support large numbers of I/O channels because of limited integration. Connecting the pin electronics to the DUT then requires long cables or PCB traces. If the wavelength of the highest frequency of interest is comparable to the length of the signal path, then the connection cannot be viewed as a lumped model and the transmission line characteristics, such as reflections, must be taken into account. Reflections will distort the waveform unless the line is properly terminated, but this is only possible if the driver is capable of driving the termination impedance. While most tester pin electronics and high-speed I/O drivers are capable of driving terminated transmission lines, this is not a general characteristic of all CMOS parts. Furthermore, frequency dependant attenuation in the transmission line can still reduce timing accuracy even in a properly terminated transmission line<sup>1</sup>. The result is that small, integrated pin electronics are desirable to maintain short signal paths between the tester and DUT.

To achieve better integration and lower costs, two CMOS architectures, the Data Generator Receiver (DGR) and Testarossa, were developed at Stanford University in the late 1980's. Increased integration permits the placement of multiple I/O channels and additional tester circuitry onto a single die. This enables the construction of testers with large numbers of I/O channels while at the same time, maintaining short signal paths between the tester and DUT.

The DGR integrated sixteen I/O channels and a 256 cycle vector memory onto a single chip [23]. It was intended only for functional test and therefore could only to drive and sample the DUT on clock cycle boundaries. Nevertheless, this part demonstrated the feasibility of building a complete single-chip, CMOS functional tester.

The Testarossa improved on the DGR by adding pin electronics and timing capabilities [12]. Pin electronics enable the tester to drive more complex waveforms than the DGR for richer test capabilities. The timing features allow the tester to generate output and sampling signals that transition at arbitrary locations within the clock cycle. Tunable

<sup>1.</sup> Dielectric loss and skin effect are the dominant loss mechanisms in printed circuit boards and cables, respectively. Attenuation of the high-frequency components can cause intersymbol interference which is a form of a data dependant timing error.

timing verniers constructed from static CMOS gates permitted fine edge placement. Precise timing accuracy was achieved by using a high-precision external delay generator.

Because timing issues such as skew and jitter were not significant issues at the time the Testarossa was built (1989), little attention was focused on these issues when designing the circuits. So while the Testerossa demonstrated the potential of CMOS testers, it lacked sufficient timing performance to test modern parts and unfortunately, these timing issues are only becoming worse.

## 2.3 Timing Performance

Ideally, a tester drives data to the DUT and samples the outputs at exact moments in time as specified by the test program. However, timing uncertainty limits the accuracy of when an edge is driven or when an output is sampled. This timing uncertainty is due to both the tester pin electronics and the connection between the tester and DUT.

To compensate for this uncertainty, testers are run conservatively with a timing margin that is sufficiently large to ensure a part that cannot meet timing requirements will not be incorrectly marked as functional. This timing margin is termed the *guard band* and is equal in magnitude to the timing error of the tester. The larger the tester guard band, the more conservative the test margin. Conservative testing implies that marginal parts are discarded despite meeting specified timing requirements. As I/O rates increase, the size of the required guard band is an important parameter and timing uncertainty becomes a critical performance metric for VLSI testers.

Unfortunately, the timing accuracy of testers is not scaling with the cycle time which is a problem because they are consuming an increasing large percentage of the cycle. A tester for 100MHz SDRAM has a cycle time of 10ns and a timing uncertainty +/-125ps [46]which is 2.5% of the cycle, but a modern RDRAM tester has +/- 50ps uncertainty, which is 8% of a 800MHz cycle [41]. The implies that parts with high-speed I/O either have a lower yield or are binned into slower frequency ranges because of tester limitations.

Testing methodologies can increase the impact of guard bands because it is not uncommon for parts to be tested by multiple parties while transitioning from

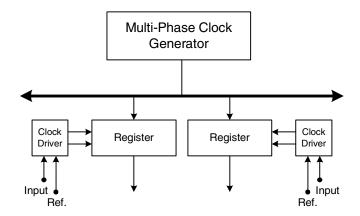


Figure 2.4: A time digitizer

manufacturing to final product integration. If each party tests the parts with the same guard band, then it is possible for the part to pass an initial test but fail a subsequent test. It is important that the supplier provides the integrators with parts that meet or exceed the published timing specification. Testing a part with a double guard band ensures that it will always pass tests that use a single guard band. However, a double guard band provides no margin for error and so at times, manufactures test with an even more conservative triple guard band.

#### 2.3.1 Detailed Timing Information

One way to reduce some of the overhead due to guard bands is to capture edge timing information. Traditional testers verify DUT outputs by sampling at preset positions within the cycle to determine if the outputs are correct. But knowing when edges transition enables a test program to interpret the magnitude of a timing failure rather than treating all errors as identical.

Edge timing information also provides cycle to cycle jitter and timing margin measurements which are very useful when characterizing high-speed designs. Zargari recognized the need for increased timing information during testing and the result was a BiCMOS time digitizer that incorporates edge detection capabilities for 2 input channels [37]. A simplified block diagram for the time digitizer architecture is shown in Figure 2.4. The input signal clocks a register that captures the state of a high-speed counter to record the time of the input transition. The resolution of the part is 90ps with an accuracy of 38ps

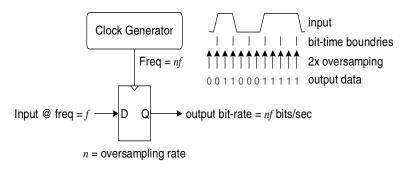


Figure 2.5: An oversampled receiver

in a 0.6um BiCMOS process. High levels of integration are possible by sharing the multiphase clock generator among multiple input channels.

An interesting characteristic of the time digitizer is that it only outputs a digital value when the input edge transitions. Thus, the output data rate is set by the number of transitions of the input. For some applications where timing information is desired for relatively infrequent events, such as physics experiments, this results in a form of output data compression. However, in a tester application, sampling on transitions is less of an advantage because the inputs can transition at higher rates which result in a large output data bandwidth. Furthermore, the output data rate is dependent on the input transition rate. A series of closely spaced input edges can cause the output data from one edge to overwrite the data from a previous edge.

The main limitations of this approach are due to the data signal being used as a clock. The input samplers require a clock of finite width, so narrow data glitches cannot be captured. Low-swing input signals are also a problem because they are less effective as clocks compared to full-swing signals. This is a significant problem because low-swing signals are common in high-speed I/O. The clock can be amplified to full-swing, but the amplifier will add timing uncertainty to the system.

#### 2.3.2 Oversampling Receiver

Switching the role of the clock and data results in an oversampled receiver that eliminates the drawbacks associated with the time digitizer. A block diagram of an oversampled receiver is shown in Figure 2.5. By sampling the data signal at a very high

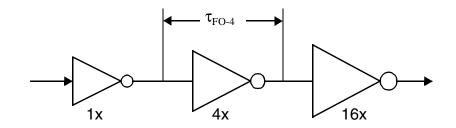


Figure 2.6: Fanout-of-4 inverter delay

rate, as compared to the input frequency, input transitions are captured with precise timing. If a cycle is defined as 1/f where f is the maximum input frequency, then the number of samples in a cycle is termed the *oversampling rate* (or for brevity, just the *sampling rate*).

The sampling rate limits the achievable timing resolution and is itself limited by CMOS transistor performance. A good metric for quantifying CMOS performance is the delay of a fanout-of-4 inverter (*FO-4 delay*) as shown in Figure 2.6 While the delay of a FO-4 inverter is process dependant, the ratio of a FO-4 delay to the delay of other more complex gates is relatively independent of process [34]. Therefore, a FO-4 delay metric provides a relatively accurate indicator of digital circuit performance independent of process technology. From simulation, the minimum pulse width that can be propagated through a chain of CMOS inverters without attenuation is about three FO-4 delays, which results in a clock period of twice this, or six FO-4 delays. This includes little margin, so eight FO-4 delays is a more realistic limit. Either yields a sampling resolution too low for a modern tester application. Fortunately, it is possible to use more transistors to compensate for device performance by time-interleaving multiple samplers as shown in

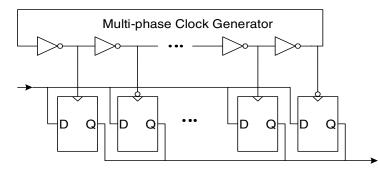


Figure 2.7: A CMOS implementation of an oversampling receiver

Figure 2.7. The set of time-interleaved flip-flops that samples the input is termed an *input channel*. Multiple input channels can share a single clock generator to permit highly integrated testers. This type of CMOS receiver has found previous application in high-speed communication systems [36].

In an oversampled architecture, the sampling flip-flops can be clocked sense amplifiers which allows the receiver to capture both low-swing and glitching input edge transitions. The samplers generate a constant stream of data representing the state of the input signal. While an oversampled receiver does not compress the output data as with a time digitizer, it does has the advantage of generating data that is synchronous with sampling clock. This can simplify the circuits required for acquisition and processing of the data. The sampling frequency is set by the design of the oversampled receiver and is independent of input signal transitions.

While an oversampled receiver can be used to capture input transition information and serve as the basis for a tester input receiver, the timing accuracy limitations are not clear. Chapter 3 explores how static phase offsets, jitter and input bandwidth restrictions limit timing accuracy.

# **Timing Accuracy**

"In theory, there is no difference between theory and practice; In practice, there is"

#### - Chuck Reid

High-speed interfaces require testers with high timing accuracy. However, timing accuracy in an oversampled receiver is limited by numerous error sources. To understand the applicability of this technology to chip testing and to categorize the potential performance, this chapter identifies and characterizes these error sources. Once this has been done, compensation techniques are considered to yield an understanding of the fundamental timing limitations.

This chapter starts by examining multi-phase clock generators since they are a significant source of timing errors in an oversampled receiver. Sections 3.2 through 3.4 cover error sources within clock generators that limit timing resolution. Also presented are measurement and calibration techniques to maximize timing performance in the presence of error sources. The chapter ends with a discussion of the timing errors introduced by the clocked sampling receivers.

#### 3.1 Multi-Phase Clock Generation

The discrete sampling nature of an oversampled receiver fundamentally limits the achievable timing accuracy to  $\pm \tau/2$  for a sample spacing of  $\tau$ . Increasing the sampling resolution requires high-frequency or finely spaced sampling clocks. The maximum frequency of a clock generator is fundamentally limited by the ability to propagate clock pulses through CMOS inverters which are the most basic form of a clock buffer. As mentioned in Chapter 2, the minimum pulse width that can be propagated reliably without attenuation is about four FO-4 delays which results in a clock period of twice this, or eight FO-4 delays. This sets an absolute limit on the sampler clock frequency.<sup>1</sup>

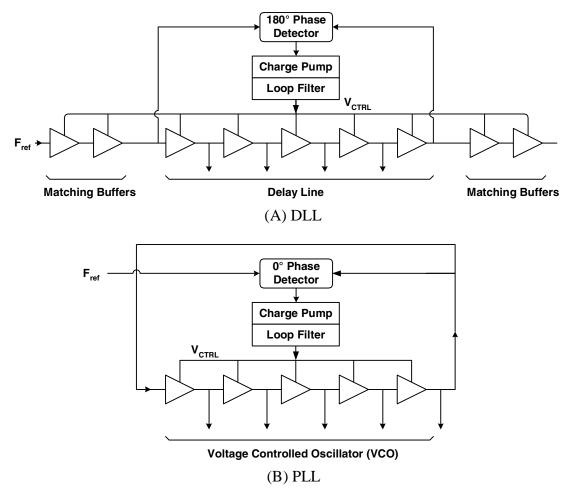


Figure 3.1: Multi-phase clock generators

To achieve faster sample rates, multiple interleaved samplers can be clocked with evenly phase shifted clocks. Two common CMOS implementations of multi-phase clock generators are shown in Figure 3.1. The control loop (phase detector, charge pump and loop filter) servos the control voltage of the delay elements, so that the propagation time of an edge through the delay elements is locked to the reference period. In a delay locked

<sup>1.</sup> An oversampled system requires a high clock rate to maintain high timing precision, but this can be an issue when testing synchronous parts. During frequency binning, the frequency of a part is swept to determine the maximum operating speed. Usually, the frequency of the tester is also swept as well, but if the operating frequency of the oversampled receiver is reduced to match the part, the timing accuracy of the receiver degrades. While the reduction in timing accuracy scales with cycle time, it still has the effect of increasing the guard bands as the frequency is reduced. However, the output of an oversampled receiver is just edge transition timing information with no inherent concept of cycles, and therefore the tester receiver can be run at maximum frequency independent of the part frequency to avoid this issue.

loop (DLL), the delay elements delay the incoming clock, while in a PLL, the delay elements are connected in a ring to form a voltage controlled oscillator (VCO). By matching the buffer elements that compose the delay line or VCO, multiple, uniformly spaced clock phases are created. In Figure 3.1, the delay line is locked to only half the period of the reference clock because differential buffers can generate the complementary outputs. For single-ended delay elements, the number of delay elements in the delay line can be doubled and locked to 360° rather than 180°. The matching buffers at the beginning of the DLL pre-condition the input edge rate and signal swing so the first delay line buffer has an identical input edge as the last buffer element. Those at the end equally load the last buffer cell to reduce phase offsets.

In both the PLL and DLL, the spacing of the clock phases is limited to the minimum propagation time through a delay element. If the loads on the DLL or PLL are much smaller than the delay cells themselves, the minimum phase spacing can asymptotically approach a FO-1 delay. While one might expect a FO-1 delay to be a quarter of a FO-4 delay, it is actually not quite that small. This is due to the additional self-loading of the inverter diffusion capacitance. This capacitance is typically a factor of one-half to one of the input gate capacitance. Therefore, a FO-1 delay is only 2-3 times smaller than a FO-4 delay, which, in a  $0.25\mu$ m process, results in a FO-1 delay of roughly 50ps. Not only is this larger than the resolution required to test a modern part, but it does not include a mechanism to control the delay of the buffers. Delay tuning transistors or capacitors will almost certainly increase the minimum delay. Finally, even if a FO-1 delay were sufficient, there is no provision to increase the resolution (other than changing processes) should it be required to do so in the future. So while this would enable a tester to scale with process technology, it does not allow scaling at a rate faster than process technology. What is needed is a technique to generate phase spacings that are a fraction of a gate delay.

Phase interpolation is an established technique for generating edges with finer timing resolution compared to what can be achieved with individual buffers. This is accomplished by blending two phase-shifted edges to produce a new edge that transitions in between the existing edges. An interpolating element composed of two inverters is shown in Figure 3.2. On the right side of the figure are three output waveforms. The top

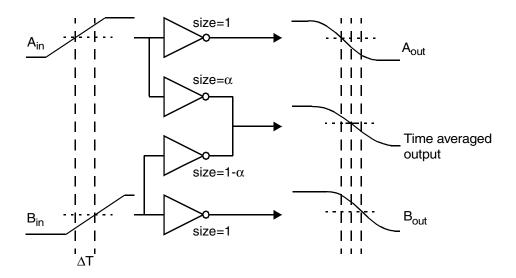


Figure 3.2: Interpolator operation

and bottom waveforms are the result of passing the two inputs through normal inverters. The middle output waveform is created by shorting the outputs of two inverters together. The result is a "smeared" output curve formed by the merged drivers.

Finely space clock edges can be generated by interpolating between coarsely spaced clock edges created with a traditional clock generator, such a PLL or DLL. In theory, interpolation can be recursively applied to create arbitrarily small phase spacings. However, in practice, the achievable phase spacing is limited by numerous error sources that are discussed in the following sections.

## **3.2 Timing Accuracy**

Static and dynamic variations in the position of clock edges from their ideal locations is a significant obstacle to building high-precision clock generators. Static variations, termed *static phase offsets*, are clock edge placement errors caused by fixed error sources such as device variations, circuit mismatches and layout asymmetries. Dynamic variations, termed *jitter*, can be grouped into two categories: deterministic and random [21]. Random jitter (RJ) is caused by fundamental noise sources in the clock generator such flicker and thermal noise. Deterministic jitter (DJ) is caused by variations in the clock edge due to deterministic and bounded sources, such as power supply noise.

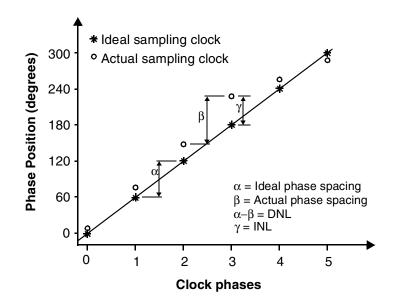


Figure 3.3: Sample DNL and INL for a six-phase clock generator

Deterministic error sources dominate on large digital chips, such as microprocessors, that are the target environment of this work. For this reason, RJ is not considered further.<sup>1</sup>

## 3.3 Static Phase Offsets

Generating precisely aligned clocks requires precise matching between the circuits that produce and buffer each phase. However, device mismatch and physical limitations in layout reduce this symmetry and therefore disturb the ideal phase alignment and produce timing offsets. As the spacing between clock phases is reduced and becomes a small fraction of a gate delay, static offset errors becomes a more significant fraction of the timing resolution.

Clock phase spacing errors can be characterized by differential non-linearity (DNL) and integral non-linearity (INL) as shown in Figure 3.3. For a tester, one might assume that INL limits timing accuracy because it is the difference in timing between actual and ideal clocks. But if the timing of clock edges can be measured, then the timing difference between the actual and ideal clocks will not reduce accuracy. What cannot be corrected

<sup>1.</sup> Periodic steady state (PSS) analysis using the Cadence Spectre RF simulation indicates 3σ RJ for a CMOS PLL implementation to be around 1.3-1.8ps. Measured jitter including both RJ and DJ is normally at least an order or magnitude larger.

though, are DNL errors which are due to non-uniform spacing of the sampling clocks. In the context of an oversampled receiver, the time between two clocks is termed a *sampling bin*, and in places within this dissertation, abbreviated simply as *bin*.

It is interesting to note that DNL errors that result in smaller than expected bin sizes will not introduce timing errors by themselves. In fact, timing accuracy is increased over the part of the period where the edges are compressed, since the input edge position can be determined with greater precision. However, a PLL or DLL control loop will drive the sum of the DNL errors to zero and therefore, negative DNL errors implies the existence of positive DNL errors which do reduce timing accuracy by increasing the size of the corresponding sampling bin.

#### 3.3.1 Sources of Static Phase Offset

A significant source of timing errors is device mismatch and asymmetries in circuits and layout. These errors are not fundamental limitations, since device mismatch can be reduced with larger devices and layout asymmetries can be reduced with multiple fabrication trials, but they do present practical limitations. In all real designs, both transistor sizes and design time are limited.

In both a DLL and a PLL, the control loop feedback clock increases the load on one of the output phases. To maintain symmetry, dummy loads are used to balance loading on all phases, but this requires additional area and power. DLLs have further circuit asymmetries because of delay elements required at the ends to the delay line. The buffers at the end of the DLL only cost area and power, but those at the beginning will contribute additional jitter, as described in Section 3.4, because the reference clock edge must traverse through these buffers *before* going through the delay line. Care must be taken to ensure changes made to improve matching do not create additional jitter.

The layout of multi-phase clock generators, especially those with large numbers of clocks is difficult because of matching and the resulting asymmetries are a significant source of static phase offsets. First-order matching of layout capacitance is straightforward, but matching second-order effects, such as coupling between active signals is more challenging. Extraction tools can produce detailed models of the parasitic

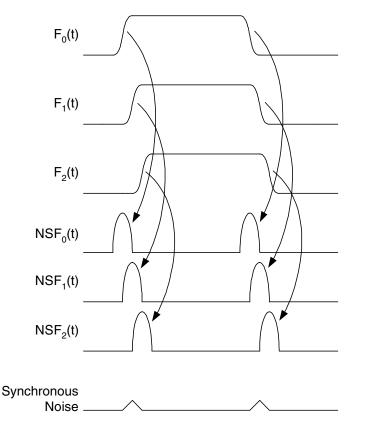


Figure 3.4: Multiple clock phases and their noise sensitivity functions

capacitances, but the effect of coupling capacitances depends on the edge rate of the coupling signals, which can change over process corners.

Static phase offsets are also caused by synchronous supply noise at the same frequency as the clock generator. To understand why this happens, consider Figure 3.4 depicting three clock waveforms and the absolute value of their noise sensitivity functions (NSF) as described by Hajimiri and Lee [14]. The NSF of a circuit represents the delay sensitivity of the circuit (in this case, a clock buffer) to power supply noise as a function of time. The value of the NSF is zero when the buffer output is not in transition and non-zero when the output transitions. Noise will only affect the buffer delay when the NSF of that buffer is non-zero.

A synchronous noise source at the same frequency as the clocks is also shown in Figure 3.4. The only buffers affected by the synchronous noise are those with a non-zero NSF at the time the noise event is occurring. Since, by definition, the synchronous noise source is identical every cycle, the affected buffers are influenced every cycle in an equal

manner and hence are consistently fast or slow depending on the nature of the noise source. This assumes that the phase relationship between the synchronous noise and the clocks is constant. If the alignment of the noise and the clock varies slowly (perhaps due to temperature variations perhaps) then static offsets due to synchronous noise that are measured and calibrated at start-up can re-manifest themselves as the temperature and supply voltage of the part changes over time. If synchronous noise constitutes a large fraction of the static phase offsets, calibration is more difficult since it must be run often enough to the track changes in the noise characteristics. Fortunately, the effect of these error sources need not be large and, at least for the design presented in Chapter 5, they are not a significant issue.

#### 3.3.2 Measurement and Calibration

Static phase errors are a significant source of timing errors, but can be reduced with calibration. The position of the clock edges can be measured with averaged phase timing measurements using histogram counters [18]. Given the static position of the clock phases, static phase errors are removed by tuning adjustable interpolators within the clock generators. The timing accuracy after calibration is limited by the resolution of the interpolators. Published results have demonstrated interpolators that can be adjusted over a range of a FO-4 delay with 4 bits of resolution and a DNL of less than one LSB (1/16 of a FO-4) [30].

Averaged phase timing measurements do not limit the achievable timing accuracy provided they are performed over sufficiently long periods so that dynamic errors due to jitter average to zero. The measurement is performed by sampling a random signal with the multiple clock phases, as shown in Figure 3.5. Histogram counters record the number of input transitions between adjacent samplers. An additional counter limits the acquisition period by counting system cycles. If the input signal edges are randomly distributed within the cycle and the sampling clock edges are evenly spaced, then the histogram counters will have identical values. If the sampling clocks are unevenly spaced due to static phase errors, the counters will not be equal and the difference will be proportional to the phase spacing error.

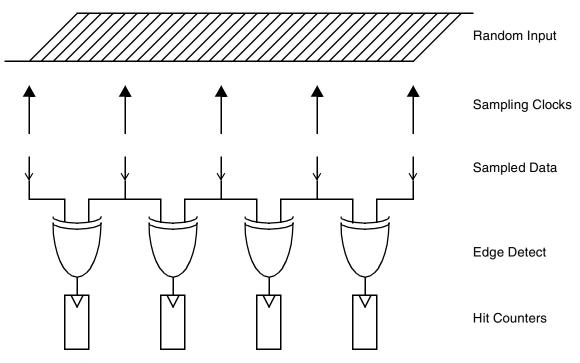


Figure 3.5: Histogram measurement of static clock phase alignment

Input transitions are detected within sampling bins when the XOR of two adjacent sampler outputs is a logical one. While a random input signal is sufficient, it is useful to consider the desired characteristics of the input signal with more detail. The frequency of the input signal must be constrained so that it evenly cycles through the sampling bins. For instance, if the input signal is the same frequency as the clock generator, the input transitions will not cycle through all the bins and an accurate histogram cannot be measured. If the input signal has a period that is two-thirds of the clock generator, then the input edge placement will repeat every 3 cycles. To ensure that quantization noise in the measurement does not limit calibration, the input signal must be constrained such that,

$$\frac{LeastCommonMultiple(F_{sys}, F_{inp})}{F_{sys}} > BinCount \cdot \left(\frac{T_{bin}}{T_{adj}}\right).$$

 $F_{sys}$  is frequency of the clock generator  $F_{inp}$  is the frequency of the input signal  $T_{bin}$  is the resolution of a bin  $T_{adi}$  is the resolution of a LSB This implication of this equation is that there must be enough unique input edges within a cycle so that the measurement resolution is at least as large as the resolution of the interpolation adjustment. Otherwise, the interpolator would be able to move an edge with greater resolution than could be measured. Sampling clock jitter does not affect this measurement because it is just as likely to make a sampling bin smaller than larger and thus averages out for a sufficiently large histogram period. Sampler input offsets will skew the histogram measurements and need to be minimized before this measurement technique is used.

Given the ability to position to a clock edge within 1/16 of a FO-4 delay [31] and measure the timing of clock edges to an arbitrary precision [17], it appears possible to constrain phase errors to within about 3% of a FO-4 delay. This is significantly better than previously reported data for multi-phase clock generators. Maneatis in [23] reports DNL phase spacing errors of 14.3% of a FO-4 delay in a 2µm process and Yang reports similar DNL measurements in [37] for uncompensated clock generators. Potentially even more important however, is the robustness of the technique as unexpectedly large static phase offsets are a reoccurring theme found in many papers that report DNL measurement for multi-phase clock generators [6][23][37]. Even the test chip presented in Chapter 5 had larger than expected phase errors due to matching issues within the DLL that went unnoticed during the initial design. With sufficient adjustment range, even large unexpected errors can be compensated rather than requiring a redesign, new masks and the associated manufacturing delay. Given that static phase offsets can be controlled, system performance will then be limited by jitter which is described next.

# **3.4 Deterministic Jitter**

Deterministic jitter is caused by variations in the propagation time of delay elements and clock buffers. It is caused by noise modulating the delay of clock buffers and delay elements and limits the timing accuracy of an oversampled receiver by introducing timing uncertainty in the acquired data. The delay sensitivity of a circuit to supply noise can be quantified as:

Power Supply Delay Sensitivity =  $\frac{\% \text{ change in delay}}{\% \text{ change in power supply}}$ .

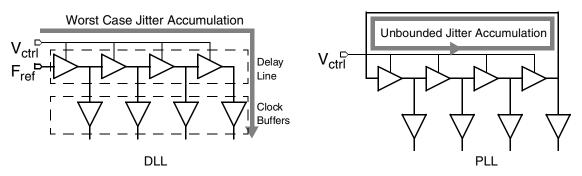


Figure 3.6: DLL and PLL jitter accumulation

Jitter can be reduced by decreasing the supply sensitivity of the clock generator. This has been the focus of much research and the result is delay elements with a supply sensitivity that is more than an order of magnitude better than a CMOS inverter [25]. Unfortunately, clock buffers are still generally built with CMOS inverters. Jitter introduced by the clock buffers is a significant limitation to achieving low-jitter sampling clocks in a multi-channel oversampled receiver because long clock chains are required to drive the large clock loads.

Power supply noise is typically the dominant source of jitter in CMOS parts primarily because the circuit styles and architectures currently employed have large transient current variations. CMOS logic draws little or no power when idle, but large currents when switching. Furthermore, the common practice of aggressive clock gating in modern parts to conserve power can cause significant current spikes. Current transients combine with the inductance and resistance of the power supply network to produce voltage fluctuations that ripple through the supply network. This problem is becoming more severe with each generation of VLSI parts as the supply voltage is trending downward, but the dissipated power is remaining relatively constant. Therefore, the required supply current and associated dI/dt spikes are increasing.

As a clock edge propagates through multiple delay elements, the jitter introduced by those elements is additive and results in an accumulation of jitter. DLL based clock generators typically have less jitter than a PLL based design because jitter accumulation is limited to the longest path through the delay line and clock buffers, as shown in Figure 3.6. A PLL however, recirculates clocks phases in the VCO and in the absence of a control

loop, jitter accumulation is unbounded. Given a control loop, the jitter accumulation in the VCO is bounded, but even under optimistic conditions, it is still about six times the peak of a delay line [32]. In practice however, such a major discrepancy in jitter performance does not exist because, as described in the previous section, the noise sensitivity of the delay elements is much better than that of the clock buffers.

Jitter can be quantified as either absolute or cycle-to-cycle. Absolute jitter is the difference between an ideal clock edge and the actual edge, while cycle-to-cycle jitter is the difference between clock periods. In some applications, such as microprocessors, absolute jitter is unimportant because there is no other internal timing reference. For such applications though, cycle-to-cycle jitter is important because it requires adding timing margin to logic circuits to ensure that the results is properly clocked into the following latches or flip-flops. For chip testers absolute jitter is important since the part being tested may contain a PLL or other type of VCO structure that does not track absolute jitter in the tester. The only time base that the two systems have in common is real time and therefore, absolute jitter cannot be ignored.

#### 3.4.1 Measurement and Compensation

Both cycle-to-cycle and absolute jitter can be measured by sampling an externally generated reference clock with an input channel. If the reference has low-jitter relative to the sampling system clock generator, any jitter in the measured position of the reference clock can be attributed to the sampling system.<sup>1</sup> If this jitter is correlated between channels, then the jitter measurement from one channel can be used to compensate sampled data from the other channels. While the achievable degree of correlation between channels is not clear, it is reasonable to assume that if the principal source of jitter is the clock generator and drivers, a design that has a minimum of local clock buffering in each channel might have a high degree of correlation in jitter between channels. Data supporting this assumption is presented in the next chapter.

<sup>1.</sup> External crystal and hybrid SAW oscillators with only a few picoseconds rms jitter are available from Vectron International and other suppliers.

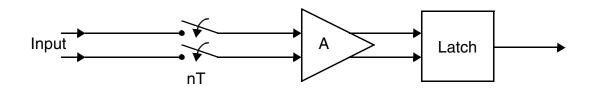


Figure 3.7: Ideal input sampler

The bandwidth of the jitter can limit the effectiveness of the compensation. Because the jitter is sampled once a cycle (or twice if both edges of the reference clock are measured), we know by Nyquist's theorem that the maximum frequency that can be measured is one-half the reference clock frequency. Jitter at higher frequencies is aliased down to lower frequencies by the sampling operation and appears as noise in the jitter measurement. The size of the error is determined by the frequency of the reference clock and the power spectrum of the jitter. Fortunately, this does not need to be a significant source of error because high-frequency (multi-GHz) reference clocks can be used in conjunction with the liberal application of on-chip bypass capacitance which limits high frequency noise.

## 3.5 Input Samplers

Having described a technique for generating and measuring the multi-phase clocks, this section explores the issues with input sampler design. A model for an ideal input sampler is shown in Figure 3.7. The input switches are toggled every cycle to instantaneously capture the state of the input signal. The amplifier permits the digital latch to resolve arbitrarily small differences in the sampled inputs. Unfortunately, physically realizable samplers have many non-idealities, not modeled in Figure 3.7, which introduce timing errors.

Device mismatch in both the input switches and amplifier is significant sampler limitation in an oversampled receiver. Mismatch results is a non-zero differential amplifier output for a zero volt differential input. The input voltage that causes a zero differential output voltage is termed the *input referred offset voltage* and can be modeled as a random, but static, error source added to the input signal.

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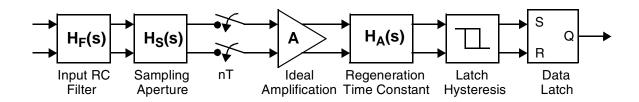


Figure 3.8: Differential input receiver with non-idealities

The amplifier has a limited gain-bandwidth product and for small input signals, the amplifier may lack the required gain to overwrite the data latch or storage elements that follow. This introduces hysteresis into the system. However, gain can be increased at the expense of an additional pipeline delay. For this reason, hysteresis in the data latch is not a limiting factor. A model of the input sampler with these effects is shown in Figure 3.8.

A further limitation is the finite bandwidth of the sampling operation. Thus, rather than instantaneously sampling the input signal, the sampler takes a weighted average of the input signal over a finite window in time. The shape of the window depends on both the edge rate of the clocks and type of circuit used. The weighting function is termed the *sampling impulse* or *aperture function* and represents the period over which the sampler is sensitive to the input signal. The minimum width of this curve that includes 50% of the area is termed the *sampling aperture*. The sampling aperture is a useful metric because it specifies the minimum input pulse width that can be captured by the sampler. While described in the time domain, the aperture can also be modeled in the frequency domain as a low-pass filter preceding ideal input switches. All sampling amplifiers have a finite aperture even if they do not have explicit sampling switches. In addition to the input filter due to the sampling impulse, an additional filter exists, formed by the input source resistance and the capacitive load of the sampler inputs. The signal must flow through this low-pass RC filter before it appears at the input of the samplers. Hence, the effective filter is a cascade of the two.

The sampler input filter distorts the shape of the DUT output waveform and can create a static timing error. Prior to test, the delay between the tester and the DUT (due to the test socket, load board and tester cables) is measured so that it can be subtracted from the actual test measurements. Because of the filtering due to the input samplers, the magnitude of the delay depends on the threshold voltage of the input receiver. Testing a part at a threshold voltage different from the threshold used for calibration results in a static timing error.<sup>1</sup> Higher input bandwidths filter the signal less and result in lower timing errors. The interconnect between the DUT and tester will also filter the input so extending the input bandwidth of the sampler significantly past the bandwidth of the interconnect yields diminishing returns.<sup>2</sup>

The input filter is dominated by the input capacitance of the samplers rather than the aperture. An aperture of about 1/4 of a FO-4 delay can be achieved in a modern VLSI processes and results in a very high -3dB frequency [37]. The input capacitance can be quite significant a large number of samplers are connected to the input in an interleaved oversampled receiver. The timing error due to input filtering can only be reduced by increasing the -3dB frequency of the filter. Unfortunately, the source impedance is usually fixed at 50 $\Omega$ , or thereabouts,<sup>3</sup> and thus the only way to increase the -3dB frequency is to reduce the capacitive loading. In some cases, such as the experimental test chip described in Chapter 4, the input capacitance is dominated by ESD protection devices. However, the inputs of embedded testers only sample signals within the die and do not need ESD protection. Therefore, reducing the input capacitance of the samplers and associated wire loading is vital to maintain high timing accuracy.

Reducing the capacitive loading of a sampler requires small input devices. Unfortunately, transistor mismatch is inversely proportional to the square root of the device area. As the devices are made smaller to reduce capacitive loading, the input offset voltage of the sampler increases. The input offset results in a timing error dependant on the input signal slew rate, as shown in Figure 3.9. For signals with a high-slew rate, the effective error due to a given offset voltage is less than for a signal with a slower slew rate.

<sup>1.</sup> Sweeping the input receiver threshold voltage allows the creation of a shmoo plot of the input signal.

<sup>2.</sup> For this reason, and to minimize TDR errors, it is beneficial to build a tester load board with high-quality printed circuit board material with low dielectric loss even if the part being tested is intended for use with a lower quality PCB material.

<sup>3.</sup> Or  $25\Omega$  for lines with double termination.

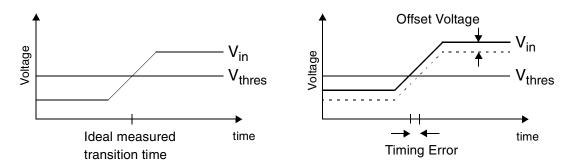


Figure 3.9: Effect of input offset voltage on timing accuracy

This is problematic because high-speed interfaces actively limit the slew rate to minimize crosstalk, reflections and self-induced di/dt noise.

One solution to this problem is to make the sampler devices small to maximize the input bandwidth and then calibrate the samplers to reduce the offset voltage. In effect, this is trading an AC problem for a DC problem. But DC problems are typically easier to solve and therefore the trade-off results in a net benefit. The problem then becomes one of implementing offset compensation in a large number of samplers in a manner that is stable and relatively inexpensive so that the capacitive reduction due to decreased input gate area is not offset by the need for increased wire routing on the input signal.

## 3.6 Summary

Sampling resolution is the primary limitation to achieving high timing accuracy in an oversampled system. Time-interleaving samplers increase the effective sampling rate but also increase input capacitance which can cause timing errors. So while parallelism is useful, it is still desirable to clock the samplers at a very high rate. In CMOS, clocks are limited to about eight FO-4 delays.

Static phase offset and jitter reduce the timing accuracy of the system. Due to the static nature of phase offsets, they can be minimized with calibration. Deterministic jitter caused by power supply noise is a significant source of error in the clock generator. But an oversampled system captures edge transitions, and therefore, it is possible to measure the sampling system jitter and cancel it on a cycle by cycle basis. Sampler offsets are a source of slew-rate dependant timing errors and, as with static phase errors, can be reduced with a

calibration sequence performed prior to operation. The next chapter details a test chip built to investigate the trade-offs and issues encountered when implementing these techniques along. The chapter also includes test measurements to determine the achievable timing accuracy. 3.6 Summary

# **Implementation and Timing Peformance**

"I have not failed 10,000 times. I have successfully found 10,000 ways that will not work"

#### - Thomas Edison

The previous chapter examined implementation independent timing issues in an oversampled system. This chapter describes oversampled input receiver implementation details and measured lab results. The first section of this chapter provides an overview of the sampling system included on the test chip. The timing accuracy is primarily limited by the clock generators which are described in Section 4.2. This includes interpolation circuits for creating finely spaced clocks and architectural trade-offs for building multiphase clock generators with tunable output phases. The section concludes with test results for static phase and jitter compensation techniques for two clock generators. Having explored methods to build clock generators, Section 4.3 then examines clocked input samplers that can capture high-speed signals while having a minimal impact on timing accuracy.

## 4.1 Test Chip

To better understand the trade-offs described in the previous chapter, and to test the compensation methods, a sampling receiver test chip was designed and measured. A block diagram of the test chip is shown in Figure 4.1. The part was fabricated in a standard 0.25µm, five metal layer process. It contains eight sampling channels that feed either an SRAM memory or an on-chip histogram counter. The sampling rate per channel is 36Gsamples/s with a 900MHz reference clock and 40 sampling phases. The eight sampling channels generate 288Gb/s of digital data but to reduce the required bandwidth of the acquisition memory, the data rate is reduced by oversampling only half the cycle. The memory is capable of storing the resulting 144Gb/s data stream with no further loss of information. The chip contains two circuits that can be used to generate the finely spaced (27ps) clocks. One uses a delay line with interpolation and the other uses an array of

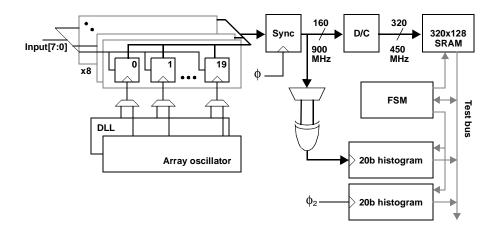


Figure 4.1: Test chip block diagram

oscillators. Since the clock generators are the most critical circuits, they are discussed next.

## 4.2 Clock Generation

The clock generators drive the input sampling receivers and set the timing of the entire system. To compare design trade-offs and performance, both a phase-locked loop and delay-locked loop were included on the test chip. The performance of the delay elements in the clock generators significantly impacts the jitter performance, so this section starts with a description of a low-jitter differential delay element. The delay element is then transformed into a tunable interpolator to permit fine phase spacing. The section continues with a discussion of the issues involving the incorporation of tunable interpolators into a delay line and VCO to achieve a large adjustment range without compromising performance. The control loops and clock buffers are then described along with techniques to minimize the static phase offsets and jitter caused by these elements.

#### 4.2.1 Basic Elements

The clock generators are implemented with Maneatis style self-biased control loops and replica biased, variable delay, differential buffers with symmetric loads [25]. A buffer is shown in Figure 4.2. The two PMOS devices that form the load structures are termed symmetric loads in [23]. If the output swing is equal to the bias voltage  $V_{bp}$ , then the resistance of the loads is symmetric about the crossing of the differential outputs. This

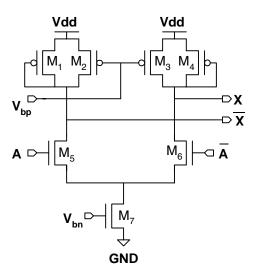


Figure 4.2: Differential delay element

reduces the jitter caused by common-mode supply noise.  $V_{bp}$  is driven by the control loop, described in section 5.2.4, to set the delay of the buffer.  $V_{bn}$  is dynamically set by the replica biasing circuit in Figure 4.3 to set the output signal swing equal to  $V_{bp}$  which maintains the symmetric nature of the loads. The differential topology, symmetric loads, and replica biasing yields a delay element with a low sensitivity to supply noise. A standard inverter has a delay sensitivity of roughly 1, while this element has a delay sensitivity of about 0.05, which is an improvement by a factor of twenty.<sup>1</sup>

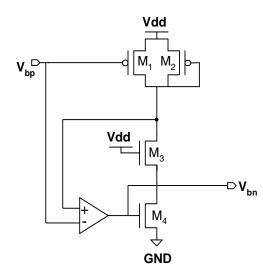


Figure 4.3: Replica bias generator for delay elements

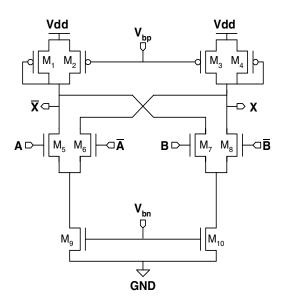


Figure 4.4: Differential interpolator

An interpolator can be built with two differential buffers by shorting their outputs together, as shown in Figure 4.4 [23]. In this figure, the PMOS loads from the two buffers have been merged and the A and B inputs are the clocks being interpolated. The output phase is set by the relative strengths of the two sides. While ideally all devices in the buffers should be scaled to change the output phase, only the size of the current source devices, M9 and M10, really matter. To maintain constant signal swings, the sum of the currents in the two current sources must remain constant.

In previously published multi-phase clock generators, current sources M9 and M10 were fixed to a value that optimized the simulated phase spacing [37]. On the test chip, the current sources are implemented as 3-bit current DACs, as shown in Figure 4.5, to permit run-time adjustment of the clock phases. This adjustment allows for correction of small static errors in the clock phases. The DAC is binary rather than thermometer encoded because monotonicity is not required.<sup>1</sup> Standard matching techniques are used to layout

<sup>1.</sup> Recall delay sensitivity, as defined in Chapter 3, is the percent change in delay divided by the percent change in supply voltage and is unitless.

<sup>1.</sup> Previous application of the adjustable interpolators use a thermometer coded DAC to permit dynamic changes to the current weights [31]. However, for this application, the adjustment codes are only changed during an initial calibration sequence so less complex binary weighting is instead used. The DAC currents do not even have to be monotonic as the calibration algorithm can check all possible adjustment codes and pick the best one.

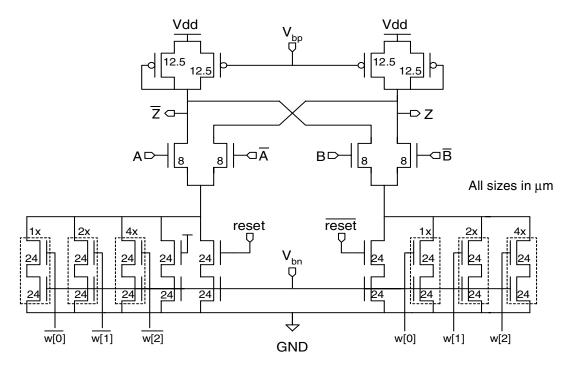


Figure 4.5: An adjustable interpolator with a 3-bit adjustment range

the tuning devices including dummy devices to minimize proximity effects, matched orientation, and larger devices composed of multiple copies of smaller devices. Matching data on the target process indicates that even with small devices, transistor mismatch does not significantly limit the adjustment resolution.

Incorporating phase adjustment into both the DLL and PLL while maintaining a large adjustment range is difficult. This is due to the phase adjustment range of an interpolator being limited by the phase spacing of the input signals. If the inputs have a small phase difference, then the output phase adjustment range will be corresponding small. The adjustment range is also limited by the nominal position of the output phase. Matching data and results from previously implemented clock generators [6][23][37] indicates static phase offsets of  $\pm 0.2$  of a buffer delay are to be expected, so the design goal was an adjustment range of  $\pm 0.25$  of a buffer delay. The next sub-sections describe how the interpolators are integrated into the clock generators to maintain sufficient adjustment range.

## 4.2.2 Delay-Line Based Clock Generator

The core of the delay line is five differential delay elements. Interpolators split the five clock phases into twenty differential clocks. A single level of interpolation, as shown in Figure 4.6, minimizes jitter because it minimizes the delay through the clock paths as compared to techniques using multiple levels of interpolation. The interpolation ratios are chosen to maximize the adjustment range of all the interpolators. Nevertheless, this topology is unsatisfactory because the interpolators on the ends have a limited adjustment range of 1/8 a buffer delay one direction since their nominal position is within 12.5% of one of the input phases. To maintain a reasonable adjustment range, not only do the inputs to the interpolator need to have sufficient phase spacing, but the nominal weighting of the interpolators must not be excessively skewed from 1/2.

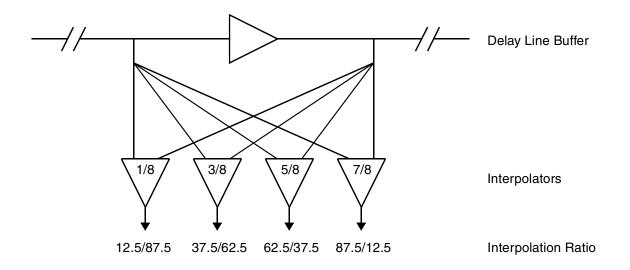


Figure 4.6: Initial interpolation strategy for DLL

The adjustment range can be increased, at the cost of increased jitter, by using two levels of interpolation, as shown in Figure 4.7. The design in Figure 4.7(a) interpolates with a 50%/50% ratio between existing clock phases to generate new phases. The interpolators with the shorted inputs delay the existing phases so they are properly interleaved with the new phases. An alternate technique is to synthesize both of the new phases via interpolation as shown in Figure 4.7(b) which is identical to the original design shown in Figure 4.6 except with only two interpolators rather than four. Topology (a) has better phase spacing in the presence of interpolation ratio errors as only half the phases are affected, but the interpolators with their inputs shorted in design (a) have no adjustment range. Topology (b) can have twice the DNL as (a) because the inputs to

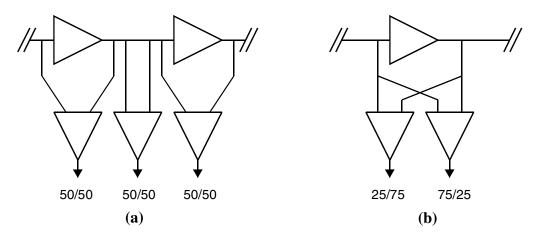
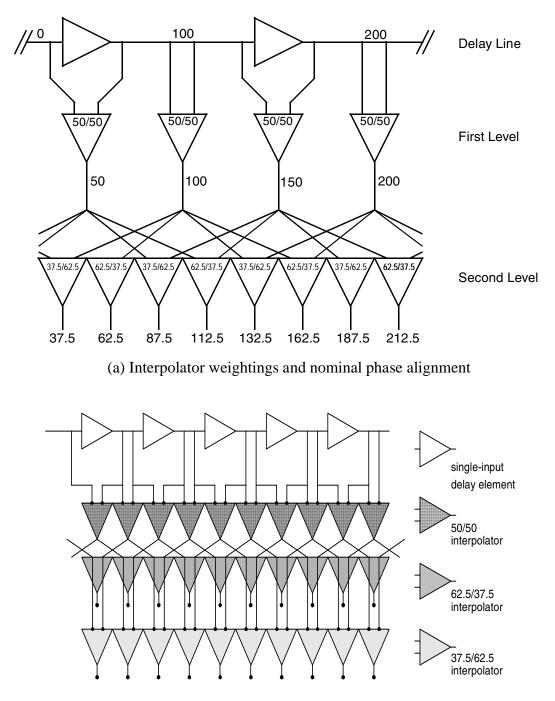


Figure 4.7: Interconnect techniques for phase interpolation

every other interpolators are reversed so adjacent phases are pushed in opposite directions. However, the interpolators in (b) do have a larger adjustment range of at least 0.25 of a FO-4 delay.

For a two-level DLL, the first level of interpolators need not be adjustable. It is sufficient that the phases in the second level are tunable. Thus, the interpolation topology in Figure 4.7(a) is used for the first level. It was initially assumed that the second level could be the topology shown in Figure 4.7(b). But this results in a phase adjustment range of only 12.5% of a FO-4 delay since the input clocks to the second level interpolators are spaced by half a buffer delay rather than a full buffer delay as in the case of the first level interpolators. The result is that rather than having an adjustment range of 25% of a buffer delay, the interpolators in the second level only have an adjustment range of half that, or 12.5%, which again is unsatisfactory.

The solution for the second level is to interpolate between every other input clock rather than adjacent clocks. The increased phase spacing does not cause adjustment linearity problems with the interpolators because every other clock is spaced apart by one buffer delay. The resulting interpolation topology is shown in Figure 4.8. An added benefit is that because of the interleaved interpolation in the second level, the interpolation ratio is 37.5/62.5, which results in a reasonably good range. Because of device size quantization due to the layout tool used, the final ratio was 36/64 which resulted in a built-in DNL of 3% of a FO-4 delay, or about 4ps.



(b) Complete DLL with interpolators

Figure 4.8: Final DLL interpolation topology

An issue with this interconnect topology is that the second level is required to interpolate across the ends of the DLL as shown in Figure 4.9. While this is not a problem

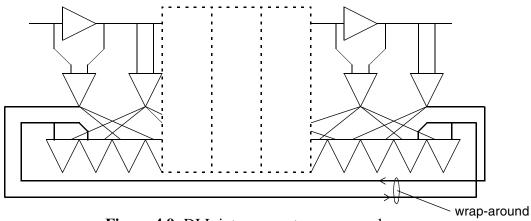


Figure 4.9: DLL interconnect wrap around

if the delay is exactly 180°, it is usually slightly off because of mismatch in other elements in the feedback control loop, specifically the charge pump. Most of the interpolators in the second level see a fraction of this error as it is spread evenly across them. However, the interpolators that interpolate across the ends of the delay line see the entire error which results in a large offset error for these phases. This is a common problem in DLLs and was expected, but it was also expected that the interpolator adjustment range would be sufficient to correct it. The adjustment range was unfortunately was not sufficient, as data presented in the following sections demonstrates.

Increasing the interpolator adjustment range solves this problem but is costly because additional adjustment range is added to all the interpolators despite only those on the ends of the DLL requiring it. A potentially better solution is to include an adjustable current DAC on the control line of the DLL. The DAC can be programmed to add or remove sufficient current to compensate for offset errors in the control loop and would directly correct the observed problem.<sup>1</sup>

#### 4.2.3 Ring Oscillator Based Clock Generator

The previously described interpolation techniques are also applicable to a conventional ring oscillator based VCO. Yang describes such a clock generator in [36]

<sup>1.</sup> Two issues with such a solution are the offset of the phase detector used to drive the loop controlling the DAC, as this will cause an uncompensated timing error, and the need to generate a very small correction current with the DAC. Neither appear to be significant obstacles, but the performance of these circuits will limit the achievable duty-cycle.

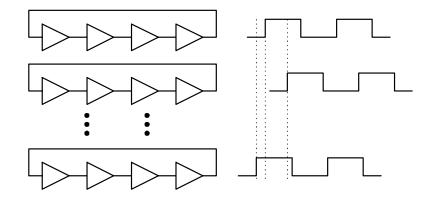


Figure 4.10: Multiple, uncoupled ring oscillators

with 24 phases for over-sampling a 2.5 Gb/s SONET signal. However, given the need for interpolation, possibly a more interesting architecture is the array oscillator as described by Maneatis in [23]. This structure uses multiple, coupled ring oscillators to create equally phase shifted clocks.

To those unfamiliar with an array oscillator, the operation can be confusing so it is briefly reviewed before proceeding with the details of adding phase tuning to the structure. First, consider a series of uncoupled ring oscillators as shown in Figure 4.10. In an ideal environment, the ring oscillators will oscillate with identical frequencies, but with an arbitrary phase alignment. While this produces multiple output clocks, the arbitrary phase alignment of the clocks makes this solution uninteresting. To generate equally spaced output clocks, a forced phase alignment between the rings is required. This can be achieved by replacing each of the single input buffers with a two-input interpolator and coupling the rings together. The second input to the interpolator is derived from the adjacent clock ring as shown in Figure 4.11.

In this configuration, the top and bottom rings are left uncoupled. The minimum energy point of the system occurs when the input to the interpolators arrive at the same time and hence the rings will oscillate in phase and multiple clocks will have the same phase offset.

A phase shift can be forced between the rings by coupling the top and bottom rings as shown in Figure 4.12. The symmetry of the design yields many favorable characteristics. The phase shift is spread evenly between the rings to create equally spaced sampling

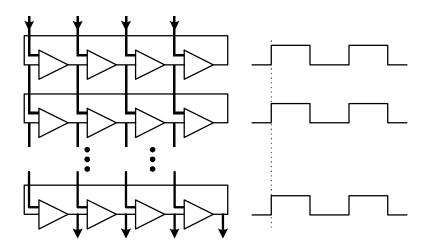


Figure 4.11: Coupled rings with top and bottom rings uncoupled

clocks and this occurs with any interpolation ratio, as opposed to the previously described delay line clock generator which requires exact ratios for precise phase spacing. Furthermore, the array oscillator is less likely to suffer from built-in phase offsets because of the intrinsic symmetry of the structure.

To generate the clocks on the test chip, five rings of four stages each are used as shown in Figure 4.13. A ring size of four was chosen to maximize the operating frequency. It is the fastest practical ring oscillator because three or fewer buffers have insufficient phase shift to oscillate reliably. Five rings are coupled together with a two-buffer phase shift between the top and bottom rings. This generates clock phases that are shifted by 1/5 of a buffer delay. The number of coupled rings sets the phase spacing of the clocks, but does

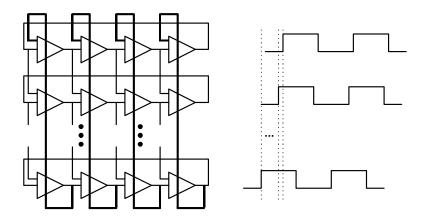
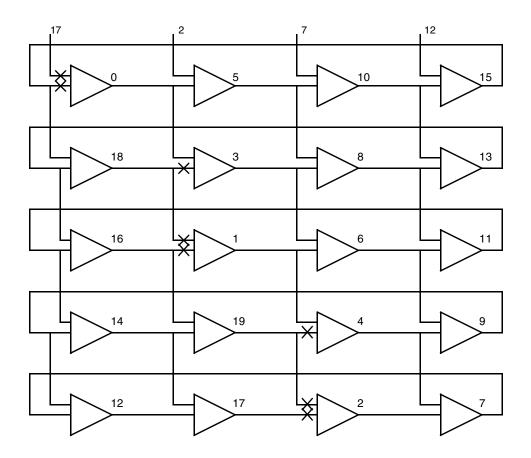


Figure 4.12: Fully interconnected ring oscillators



X denotes cross of differential pairs

Figure 4.13: Four by five array oscillator

not affect the maximum oscillation frequency of the array. In fact, additional rings can increase the frequency of oscillation because as more rings are added, the phase shift between interpolator inputs is reduced which decreases the interpolator delay. While a two buffer phase shift limits the maximum frequency of operation, it is required to allow the integration of static phase tuning, as is described in the next section.

#### Adjustable Interpolation

Maintaining a large phase adjustment range in the array oscillator is a challenge as it is with the delay line, but for a different reason. Phase adjustment in the delay line is difficult because some of the interpolators have limited range due to an asymmetric interpolation ratio. With the array however, the interpolators all can be designed to have 50/50 ratio so the adjustment has sufficient range in both directions. But the phase difference between

the inputs to the interpolator is set by the coupling between the first and last rings in the array. If a one buffer phase shift is forced between the rings, then that delay will be spread evenly across the rings and the inputs to the interpolators will have a phase difference of 1/ N times a buffer delay, where N is the number of coupled ring oscillators.

On the test chip, N=5 so the inputs would be spaced 22ps. But because the adjustment range of an interpolator is set by the phase spacing of the inputs, the adjustment range of the outputs is limited to only +/-11ps, which is insufficient to fully correct for the expected offset errors.<sup>1</sup> Therefore, increasing the array coupling factor to 2 increases the adjustment range to 44ps (+/-22ps). However, this comes at the expense of reducing the maximum oscillation frequency due to increased interpolator delay.

Because of coupling, adjusting a single phase in the array shifts all the phases. Therefore, array calibration is more complex than with the DLL, where phases can be independently adjusted. However, the output of the interpolator being tuned exhibits the largest change. Hence, convergence is assured using an iterative calibration algorithm.

Incorporating adjustment into the array oscillator has an addional benefit besides phase tuning: the adjustment devices can be used to reset the array. This is important because the boundary conditions established by the ring coupling can be satisfied by multiple stable modes. If the rings are coupled with a single buffer delay, then a one-buffer delay phase shift would satisfy the boundary conditions, in addition to a phase shift of one cycle plus one buffer delay. For normal operation, the state with the maximum operating frequency is desired, but in both simulation and laboratory testing, the array sometimes resets into a slower mode. The rings can be uncoupled using the adjustment devices and the resulting series of stand-alone ring oscillators will oscillate at a frequency higher than that of a coupled array.<sup>2</sup> As the coupling is re-enabled, the ring oscillation frequency is reduced slightly and the array enters into the desired mode. In the laboratory this proved to be a very effective way to reset the array oscillator.

<sup>1.</sup> Mismatch in wire delay alone causes an error close to this value.

<sup>2.</sup> Provided the coupling factor is positive. It is possible to couple the array with a negative factor and actually cause it to oscillate at a frequency higher than a standalone ring oscillator.

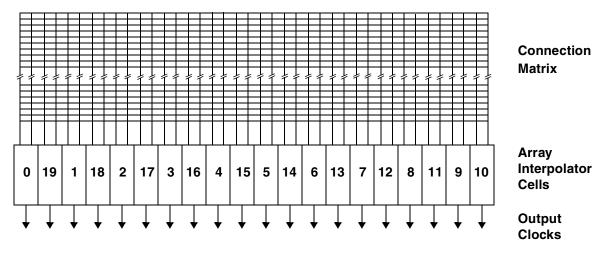


Figure 4.14: Array oscillator layout

#### Array Layout

The array dimensions and ring coupling are implementation dependant since they can be constrained by layout. Maneatis proposed laying out the array in a matrix that is folded both horizontally and vertically to maximize the symmetry of the interconnect. However, as he explains, this constrains the coupling of the array to M = yN - k, where M is the number of rings, N is the number of buffers in each ring, and k the number of buffer shifts between the top and bottom rings. Furthermore, while yielding symmetric interconnect, this layout topology does not permit symmetric extraction of the clocks because they are arrayed in a two dimensional structure, making it difficult to interface to loads such as the input channels on the test chip. Wiring the input channels to a two dimensional array results in clock wire mismatch that causes static phase offset errors. Embedding the samplers into the array oscillator avoids the need to distribute the clocks but requires distributing the sampler input signals over a two-dimensional area. This could introduce significant data dependent jitter as the samplers and data buffers generate power supply noise that would be in close proximity to the sensitive clock buffers.

To avoid these issues, the array oscillator is laid out in a linear fashion with a wiring channel to interconnect the interpolators as shown in Figure 4.14. The interconnect is a grid of differential wire tracks; the interpolators are connected by placing contacts at the proper locations within the grid. The differential clock wires are shielded to reduce

coupling to other clock wires. While the grid provides equal capacitive loading, the length of interconnect between interpolators is not uniform and introduces a built-in DNL of about 8ps. Despite this limitation, the linear layout proves to be very useful when designing the array as it permits freedom in setting the ring coupling factor while at the same time allowing the matching of the physical placement of clock phases with the DLL. This is important because the histogram counters are designed for a specific temporal arrangement of the output data. Furthermore, the interconnect flexibility permits the aforementioned trade-off between maximum oscillation frequency and adjustment range. A drawback is that it does limit the operating frequency since every buffer must drive a long wire.

## 4.2.4 Control Loop Design

The delay line and array oscillator create clocks evenly spaced within a period, but this is insufficient by itself to achieve accurate timing, as the period must also be precisely maintained by the control loop. Figure 4.15 and Figure 4.16 show the control loops for the PLL and DLL respectively. The control loops are similar. Both have a phase detector to measure the phase error between the two inputs and produce two outputs, UP and DOWN. The width of the UP and DOWN output pulses depends on the arrival time of the input edges. In response to the UP and DOWN pulses, the charge pump drives the control

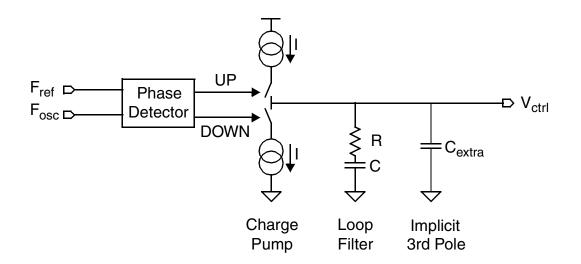


Figure 4.15: PLL control loop

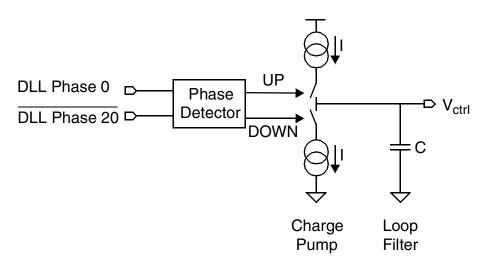


Figure 4.16: DLL control loop

voltage with a current proportional to the pulses. The loop filter integrates the charge and stabilizes the loop. The PLL phase detector is often slightly more complex than that of the DLL because it contains additional logic to check for both frequency and phase lock to prevent false frequency locking. In addition, the loop filter for the PLL is more complex because it needs a zero to stabilize the additional pole introduced by the VCO.  $C_{extra}$  is formed by the loading capacitance on  $V_{ctrl}$  and introduces a 3<sup>rd</sup> pole in the transfer function of the PLL control loop. This pole is leveraged later in this section to increase the timing accuracy of the VCO.

An ideal phase detector generates no output when the input edges are exactly coincident. When the inputs edges are not aligned, the output is UP or DOWN pulses with widths proportional the phase shift between inputs. Real phase detectors however, cannot transition from a zero to finite output for an arbitrarily small input phase difference. This results in a deadband in the control loop when tracking small input phase errors. The established practice to avoid this problem is to build a phase detector that always generates output pulses. When the inputs edges are perfectly aligned, the UP and DOWN output pulses are identical which, in theory, causes no change on the control voltage because the net output of the charge pump is zero.

Unfortunately, real charge pumps have mismatch in the UP and DOWN paths which causes synchronous ripple on the control voltage node. The charge pump used for both the

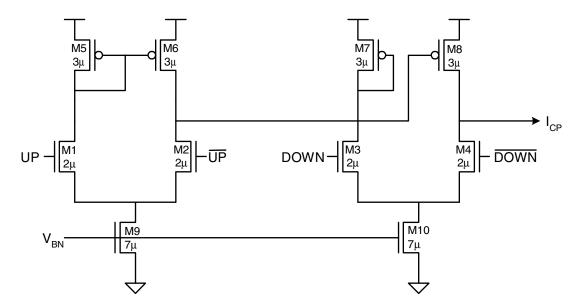


Figure 4.17: Control loop charge pump

DLL and PLL is shown in Figure 4.17. When the UP and DOWN signals are asserted simultaneously, the charge pump will initially remove charge from the control node because M4 will be enabled before M8 due to the extra PMOS device, M6, that is in the UP path. When M8 is turned on, the up and down currents will match and no additional charge is added or removed from the control node. When the UP and DOWN pulses are de-asserted, M4 will be turned off before M8 and charge will be injected onto the control node.

The ripple on control voltage appears as synchronous noise to the buffers and interpolators and, as described in Chapter 3, this results in static phase offsets. There are a number of solutions to this problem; possibly the simplest for the PLL, and the one implemented on the test chip, is to explicitly reduce the frequency of the 3rd pole as much as possible while maintaining sufficient phase margin for stability. Typically this allows the 3rd pole to be reduced to about an order of magnitude higher than the explicit pole set by the loop filter.

A more complex, but effective design simulated for the test chip but not implemented, is to split that charge pump into N copies, each 1/N the size of the original. The charge pumps are clocked with the same UP/DOWN signals, but with a phase shift between each charge pump. A block diagram for such a configuration is shown in Figure 4.18. The

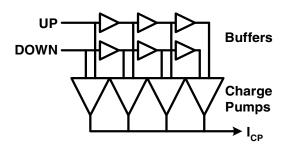


Figure 4.18: Reduced ripple charge pump

result is a superposition of multiple ripples each with a 1/N the amplitude of the original. This does introduce additional phase delay into the feedback path however, so care must be taken to make sure the loop does not become unstable. For simulation, N was chosen to be 4, which proved to be a suitable compromise between ripple and complexity.

A frequency multiplying PLL is often a poor solution for a multi-phase clock generator. In these systems, the ripple is no longer at the same frequency as the oscillator, but instead at a sub-harmonic of the clock frequency. The resulting ripple still causes static phase errors, but only every n<sup>th</sup> cycle. This is a much more difficult error to measure and correct because it requires hardware that can apply a different correction based on the cycle. If frequency multiplication is required, a better solution is to multiply the clock separately from the multiphase clock generator, to minimize these effects.

#### 4.2.5 Clock Drivers

Because the buffer and interpolator cells are low-swing, the clock signals need to be converted to full-swing prior to driving the samplers. The level converter and clock buffers used in both the DLL and PLL are shown in Figure 4.19. In the course of sharing the circuit and making a last-minute sizing change in the array oscillator, the current source in the differential pair was mis-sized in the PLL. The result was insufficient current to fully swing the input to the first inverter, and correspondingly, marginal clock signals. Fortunately, a few of the clock outputs did function sufficiently to obtain jitter measurements presented later in this chapter. However, phase spacing measurements were not possible.

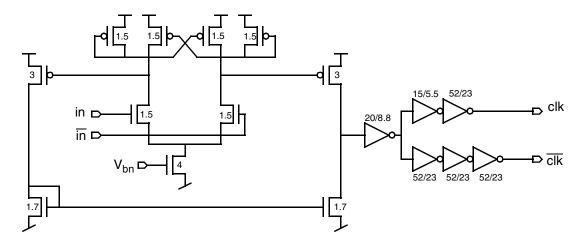


Figure 4.19: Low-to-high swing converter and clock buffers

The delay through the converter roughly tracks that of the delay elements in the clock generators because the differential pair is biased with same signal,  $V_{bn}$ . This biasing also implies that the slew rate of the input to the first inverter is also related to the operating frequency. At first glance, this appears fine; the rise and fall times remain roughly a constant percentage of the cycle time. However, this also means that the jitter scales with the clock period. A better solution is to bias the differential pair with a fixed current so that the current mirror is fast and the speed is independent of the frequency of the clock generator.

## 4.2.6 Measured Phase Results

Tunable interpolators in the clock generators enable static tuning of clock phase offsets. To properly program the interpolators, the static phase spacing of the clocks must first be measured. Averaged histogram counts, as described in Chapter 3, are the primary method for measuring the phase spacing on the test chip. The test chip multiplexes sampler outputs into a single counter to avoid the need for separate counters on each set of adjacent phases. This makes the measurement process longer because the size of the bins can no longer be measured in parallel, but instead must be measured sequentially. Nevertheless, the measurement can be performed in less than a few seconds. A secondary measurement capability is provided by a clock multiplexer and output driver. Sweeping the multiplexer selection bits and performing an oscilloscope histogram measurement

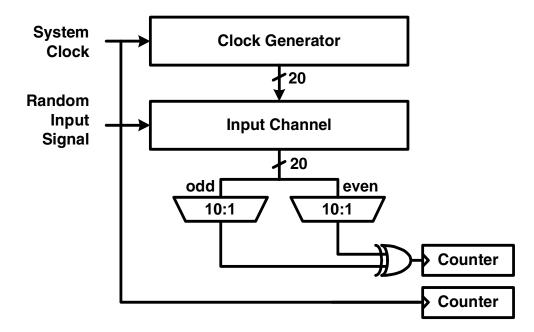


Figure 4.20: Architecture for histogram measurements of phase offsets

results in a plot similar to Figure 4.21. This technique is used to verify the measurements made with the histogram counters but since the multiplexer has variable delay due to mismatches in the input paths, the measurement technique is considered less accurate than the histogram counters.

Figure 4.20 shows the histogram counter and multiplexers as implemented on the test chip. Because the input clocks to the histogram counter always consist of an even and odd clock, the multiplexers can be simplified by making one select between the even clocks and the other select between the odd clocks. A 20-bit counter counts system cycles so that each histogram runs for an equal period of time. The counters are implemented as linear-feedback shift registers (LFSR) to simplify design and minimize the area required compared to a regular binary counter. Decoding the LFSR count into a binary value is performed off-line by a workstation.

The length of the histogram measurements can be configured to be up to  $2^{20}$  system cycles. The total number of calibration edges depends on the frequency of the calibration clock and it is desirable to have a high-frequency calibration source for higher measurement resolution. The histogram measurements should be possible with a random input frequency that is higher than the reference clock, but this was not verified in the lab.

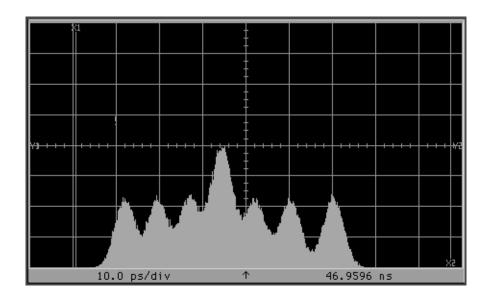


Figure 4.21: Histogram plot of interpolator output

The laboratory measurements presented were performed with a 900MHz system clock and calibration frequencies ranging between 200 and 400MHz. While theory may dictate that the calibration source frequency needs to be carefully specified, laboratory results indicate that a wide variation of frequencies will produce identical measurements and therefore a random clock uncorrelated to the reference clock suffices for histogram measurements.

Figure 4.21 shows a histogram plot of a single DLL clock as the corresponding phase interpolator is swept through all eight adjustment codes. Because sign-magnitude encoding is used, two codes map to zero and hence the center peak is about twice as high as the others. The spacing between peaks is about 7ps with a linearity of about 2ps. Based on this data, the interpolators on the test chip are capable of statically placing an edge to within about  $\pm$ 5ps. The high degree of linearity indicates that additional adjustment bits are feasible and the static edge placement could be improved to better than  $\pm$ 2.5ps. As the interpolator output phase data indicates, neither the nonlinear relationship between the interpolation ratio,  $\alpha$ , and output phase, nor mismatches in the adjustment transistors significantly limit the output linearity of the interpolator.

The behavior of the counters was verified by moving a single clock phase and measuring the size of the sampling bins on each side of the clock phase. The results of this test are shown in Figure 4.22. The asynchronous input signal was at 351MHz and the

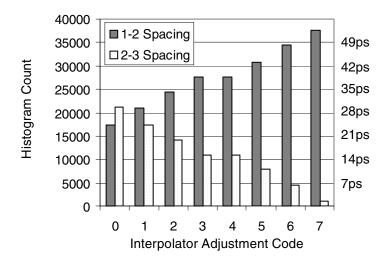


Figure 4.22: Correlation of histogram measurements to phase spacing

tester was clocked at 900MHz which resulted in each histogram hit representing about 1.4fs. The results of this experiment matched well with Figure 4.21, thus confirming the accuracy of the measurement technique.

The phase spacing errors of the DLL, measured with the histogram counters, are shown in Figure 4.23. Inexact current weighting in the interpolators due to device width quantization causes the alternating phase error pattern because adjacent DLL clocks are generated with the same interpolators but with inputs flipped. Therefore, one clock appears early, while the next is late, and so forth. Folding of the DLL layout creates a discontinuity at about midpoint in the plot. This error is due to imperfect wire matching in the layout and is not necessarily a problem intrinsic to the design.

Also shown in Figure 4.23 are the phase spacings of the compensated DLL clocks. The maximum expected error should be limited to half the resolution of the adjustment step, ~4.5ps, indicated by the dashed horizontal lines. However, as evident in the plot, some errors are larger than this value due to insufficient adjustment range of the interpolator.

### 4.2.7 Phase Alignment Considerations

The inability of the DLL interpolators to fully correct for the static phase errors is due to the limited adjustment range of the clock generator architecture. While data is

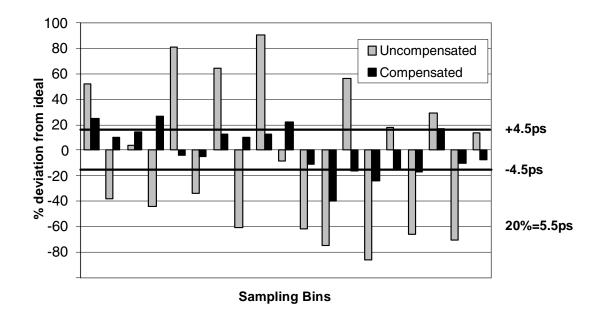


Figure 4.23: Compensated DLL phase spacing

unavailable for the PLL, experience with the DLL suggests that the PLL would also suffer from insufficient adjustment. A solution is to build the clock generators with no consideration for phase adjustment and instead, add a tunable delay vernier to each clock phase. Not only does this yield simpler clock generator designs, but in the case of the array oscillator, it will increase oscillation frequency.

A simple tunable differential delay element is shown in Figure 4.24. This element uses a differential buffer and an adjustable interpolator to provide an adjustment range of up to one buffer delay. A trade-off between range and resolution is possible by using both fixed and digitally tunable current sources. The ratio of fixed current to adjustable current sets the resolution and adjustment range. A reasonable adjustment range is plus or minus one and a half sampling bins, which is approximately  $\pm \frac{FO-4 \text{ Delay}}{3}$ . A 4-bit adjustment range would then yield steps of 1/24th of a FO-4 delay, or about 5ps on the test chip. This allows edge placement to within  $\pm 2.5$ ps. Based on matching data from Figure 4.21, even 6-bit resolution might be feasible. The issue becomes one of implementation cost, not of the digital register bits required for storing the DAC coefficients or associated read/write circuitry for the registers, but of the required interpolator DACs. This is due to the digital circuitry roughly scaling linearly with the number of required adjustment bits, while the

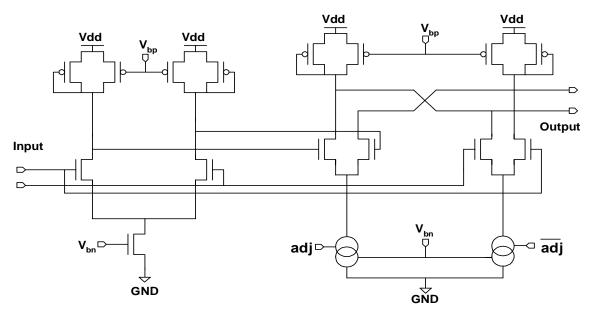


Figure 4.24: Adjustable timing vernier with buffer delay range

number of current sources required for the DAC architecture increases as  $2^{n}-1$ ,<sup>1</sup> where n is the number of bits of adjustment. This cost can be reduced with an alternate DAC design that trades accuracy for device count.

#### **Duty Cycle**

The output of the clock generator is twenty differential clocks spaced evenly over 180° of the clock period. Twenty single-ended sampling clocks spanning the first half of the cycle are created with twenty differential to low-swing converters. To cover the second half of the cycle, the polarity of the differential clocks is flipped and used as the input to another set of differential to single ended converters. The result is that each differential clock is the source of two single-ended clocks that are spaced in time by 180°. Duty cycle variations in the differential clock perturb the 180° alignment of the single ended phases and create static phase offset. The test chip architecture allows individual tuning of each *differential* clock to remove static offset, but no duty-cycle adjustment. While duty-cycle variations can be reduced by carefully designing the low to high-swing converters and

<sup>1.</sup> While the DAC is binary weighted, 2<sup>n</sup>-1 devices are required because each DAC leg is constructed with LSB sized devices. Because linearity is not required in the DAC, the constraint could be relaxed and thus the DAC would require fewer devices.

clock buffers, it is unreasonable to expect accuracy on the order of a few picoseconds. Therefore duty-cycle variations can be a significant source of static phase error. Making the duty-cycle individually adjustable or moving the timing adjustment after the differential to singled-ended converters would solve this problem.

#### 4.2.8 Phase Adjustment Summary

Digital phase tuning circuits are capable of placing clock edges with very high precision. Edges on the experimental test chip could be placed to within  $\pm$ 5ps and data indicates achieving  $\pm$ 2.5ps resolution should be feasible. This, coupled with the ability to measure edge placement to an arbitrarily high degree of accuracy with histogram counters, allows the clock phases to be aligned to better than twice what had been previously reported for clock generators without phase tuning [6][23][37]. Because both the DLL and PLL architectures are comprised of interpolators, it seems like to be the logical location for the static phase adjustment capabilities. However, integrating the phase adjustment as external verniers is worth investigating.

#### 4.2.9 Timing Jitter

Having presented a technique to minimize static phase offsets with calibration, we now look at techniques for minimizing the timing uncertainty due to dynamic jitter in the clock generators. The magnitude of the jitter induced by a circuit depends on the delay through the circuit and its sensitivity to noise.<sup>1</sup> While, the clock generators use differential delay elements with a low delay sensitivity they also use normal inverters in the clock buffer chains so some jitter is inevitable. This section presents jitter measurements for the clock generators and investigates the effectiveness of post-processing the sampler output data to remove jitter from the measurements.

The effectiveness of the compensation depends on the correlation of jitter between channels, so that a measurement from one channel can be used to correct data from another channel. To maintain a high degree of correlation between sampling channels,

<sup>1.</sup> Reducing the delay of a circuit may not always be possible but in some cases, such as a clock buffer chain, can be accomplished by optimizing the buffer fanout.

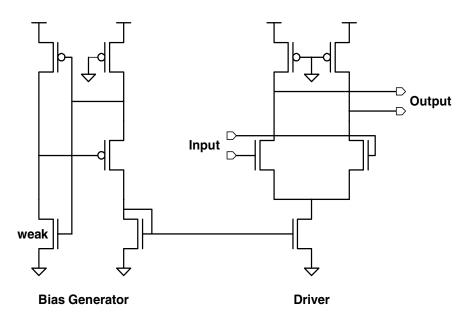
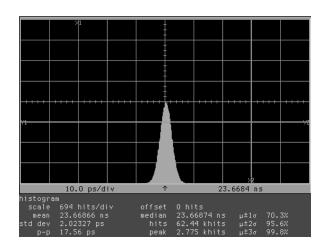


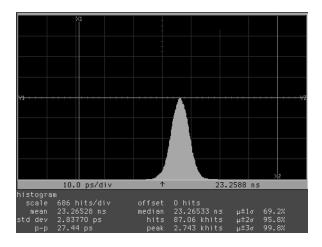
Figure 4.25: Low-swing constant current output driver

individual channels contain a minimal amount of clock buffering. Each input sampler has a clock multiplexer, implemented as a single buffer stage, because samplers may be independently programmed to sample with either  $\phi_n$  or  $\overline{\phi}_n$ . To minimize uncorrelated timing jitter in the multiplexer, the sampler outputs are driven with a low-swing, constant current, differential buffer as shown in Figure 4.29 [10] The bias generator uses a replica of the low-swing driver and an inverter with a highly skewed trip-point to set the output swing to a PMOS threshold.

The jitter numbers measured on the test chip can be considered to be representative of what is measured on larger parts because, while hardly a large chip by modern standards, the experimental test chip does contain a reasonably large amount of digital circuitry that generates supply noise. Additionally, an on-chip noise generating transistor is used to replicate large sources of noise that may occur when entire functional units are clock gated.



(a) DLL



(b) PLL

Figure 4.26: Timing jitter with no induced noise

Jitter histograms for both the DLL and PLL without externally induced supply noise are shown in Figure 4.26. The DLL has ~17.5ps jitter peak to peak with a standard deviation of 2ps while the PLL has slightly more jitter with a standard deviation of 2.8ps and ~28ps p-p. The sensitivity of the DLL is ~0.4ps/mV and the PLL is ~0.6ps/mV as measured with the aid of the on-chip noise generators. There was no apparent difference in DLL jitter measurements taken at the start and end of the delay line. This indicates that at least for the DLL, the clock buffers are the dominant source of jitter as opposed to elements comprising the delay line.

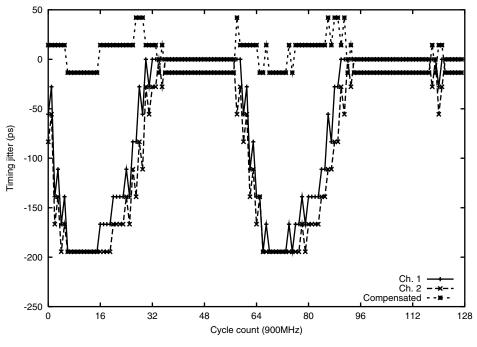


Figure 4.27: Timing jitter with induced noise

On-chip supply noise varies between chip designs and can even vary on a single chip depending on workload, so it is impossible to have a widely applicable number for supply noise, but a magnitude of 10% of the supply voltage is a significant change for any chip. For a 2.5V operating supply, this amounts to 250mV which based on the sensitivity numbers presented would cause 100ps timing jitter in the DLL and 150ps jitter in the PLL. This is a large error and, left unaddressed, would significantly limit the achievable timing accuracy.

To investigate the correlation in jitter between sampling channels, the reference clock is sampled with two channels and the results plotted in Figure 4.27. Because the measured DLL jitter, ~18ps, is less than the sampling resolution, ~28ps, no jitter is apparent without the use of an on-chip noise generator. This is visible in the flat sections of the curves between the jitter events. Noise is induced with a 15MHz square wave driving an on-chip device that shorts the power supply and ground. The amplitude of the induced noise is 600mV. The curve dithering about the X-axis is the result of applying jitter measurements from channel 1 to the data obtained from channel 2. This correction represents a jitter reduction from 192ps to 56ps which is almost a factor of four improvement. The flat

region at the bottom of the jitter curves is due to a delay change in uncompensated clock buffers that are affected by the change in supply voltage when the noise generating shorting transistor is enabled.

The correlation between phases is maximized by locating the drivers for the clock buffers in close proximity and maintaining low-impedance power and ground busses between the clock buffers. This ensures that power supply noise affects each of the clock phases equally. High-frequency noise can cause jitter to be uncorrelated from phase to phase. To reduce the frequency content of the supply noise and hence the resulting jitter, significant amounts of bypass capacitance is placed between the power rails of the clock buffers.

Because of physical proximity, it would be expected that channels 1 and 2 would display a higher correlation than channels 1 and 7, however lab measurements were unable to measure any difference in correlation. Thus, if a difference exists, it is less than the resolution of the sampling system. Furthermore, changing the phase alignment of the two input signals using off-chip, passive delay elements did not produce a measurable change in the correlation between channels.

While this data indicates the feasibility of canceling on-chip supply noise, it is interesting to consider if it is also possible to remove any component of the jitter that exists without induced noise. This is a challenging measurement because the jitter of the DLL is less than the sampling resolution of the system. To increase the resolution of the measurement, an alternate approach was used by taking advantage of the tester's ability to bypass the SR-latch following the sampler and instead capture the logical NOR of the sampler outputs. This feature was intended to be a completion detect so the phase alignment of the sampler and the digital system clock could be determined. However, it proved useful for this measurement because it allows the measurement of the metastability window over which the sampler does not resolve because of insufficient gain. The width of this window can be controlled by setting the input signal voltage. The larger the input swing, the narrower the metastability window.

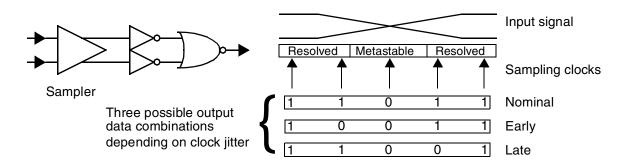
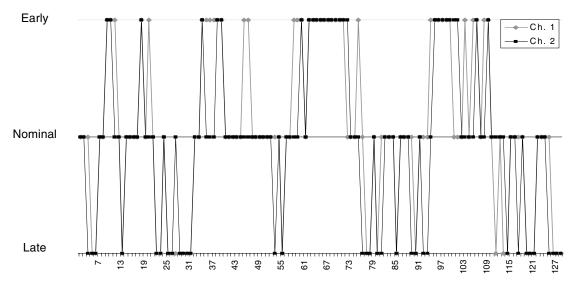


Figure 4.28: Sampler configuration for sampling high-frequency jitter

For this measurement, external phase adjusters align the input signal transition with respect to a sampling clock. This causes an output data pattern of [...11011...]. The single zero in the center of the data pattern represents the sampler that did not resolve due to meta-stability. The input voltage is then decreased until multiple samplers also display a metastable output: [...10001...]. The voltage is then increased slightly so that all but one sampler resolves. At this point, small amounts of jitter in either direction will cause a sampler to not resolve due to metastability and therefore, the direction of the jitter can be determined (either early or late). The results shown in Figure 4.29 are promising and



Meta-stability detection in the input samplers is used to determine, with very high resolution (a few ps), if the input signal is early or late.

Figure 4.29: Correlation of high-frequency DLL jitter.

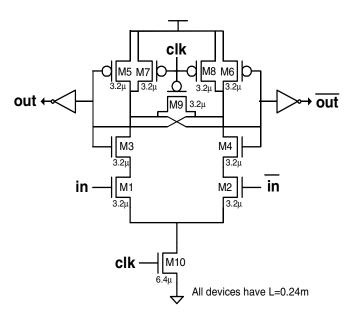


Figure 4.30: Input sampler

indicate that the jitter is correlated at least in its direction. Further work with improved measurement accuracy is needed to completely characterize this behavior.

Timing jitter on large digital parts is predominantly caused by digital switching noise on power and ground and, even with careful circuit design and layout, it can significantly limit the achievable timing resolution. To compensate for this limitation, the experimental test chip measures cycle-to-cycle jitter and removes it from the sampled data by postprocessing. Measured results indicate that this correction is feasible in practice and can improve measurement accuracy significantly. This is useful for achieving high timing accuracy as jitter is a significant problem on large VLSI parts.

## 4.3 Samplers

Having looked at clock generation issues, this section now considers the sampler circuit that interfaces with the clocks. The important sampler characteristics are aperture, input capacitance and the input-referred offset voltage. The sampler used on the test chip, shown in Figure 4.30, consists of a pair of cross coupled inverters composed of transistors M3 through M6 with variable-strength pull-down paths controlled by M1 and M2 [27]. When *clk* is high, M7 through M9 reset and equalize the upper nodes of the sampler, When *clk* rises, M10 is enabled and a differential current is injected into the upper nodes

of the sampler where it is amplified and generates the output. The design is small, simple, fast, and requires only a single clock phase.

To minimize input capacitance, M1 and M2 must be small. However, small devices exhibit poor matching characteristics that cause timing errors. It is not inconceivable for a 30mV offset to cause a 30ps timing error for a 1Gb/sec input signal.<sup>1</sup> While all the differential devices contribute to the offset, the devices that contribute most are M1 and M2 as shown in Table 1.

Transistors	Sensitivity
M1 and M2	1 mV/mV
M3 and M4	0.108 mV/mV
M5 and M6	0.091 mv/mV

Table 1: Input offset sensitivity to device V<sub>t</sub> variations

Given that M1 and M2 are the dominant source of mismatch, the offset voltage can be approximated as:

$$V_{ofs} = 3\sigma_{VT} \cdot \sqrt{2}.$$

Here  $\sigma_{VT}$  is the standard deviation of the device threshold voltage and can be approximated as  $\frac{\alpha \cdot um}{\sqrt{W \cdot L}}$ , where  $\alpha$  is a technology dependant constant [9][30]. For the process used to implement the test chip  $\alpha$  is about 6mV. For M1 and M2, which on the test chip are 0.25µm x 3.2µm,  $\sigma_{VT}$  is 9.45mV. The simulated Monte Carlo data which agrees well with the manual offset calculation is shown in Figure 4.31.

<sup>1.</sup> Assuming the rise and fall times of 1/3 of the period and the signal swing is 333mV; numbers which are quite typical and representative of high-speed I/O.

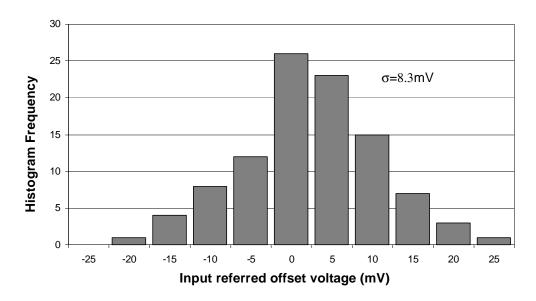


Figure 4.31: Monte-Carlo analysis of sampler offset voltage

A  $3\sigma$  mismatch of 28.35mV is significant and can cause large timing errors that must be addressed to achieve high timing accuracy. Increasing the transistors sizes is one possible solution, but this unfortunately increased the input capacitance and lowers the input bandwidth.

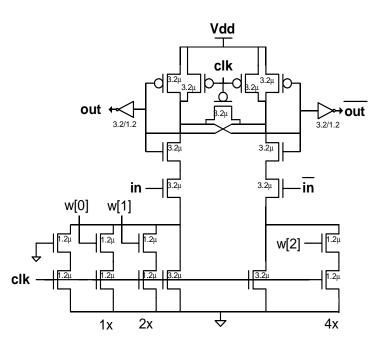


Figure 4.32: Input sampler with offset compensation

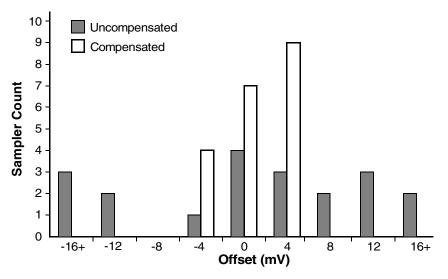


Figure 4.33: Histogram plot of sampler input referred offset voltage

## 4.3.1 Offset Compensation

The result of mismatch in the sampler is uneven current flow in the two input devices, M1 and M2, when the inputs are equal. To compensate for this mismatch, the evaluate tail of the sampler is split and tuning devices are added as shown in Figure 4.32.

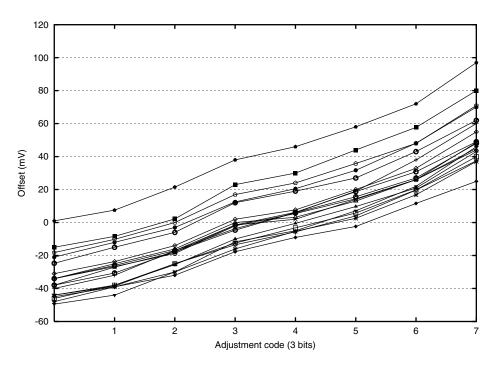


Figure 4.34: Measured sampler offset adjustment range

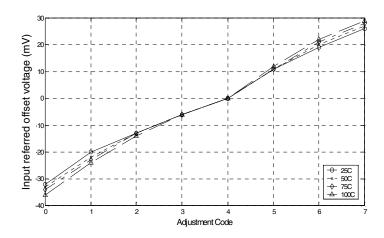


Figure 4.35: Sampler offset compensation stability versus temperature

To calibrate these devices, the inputs are set to the expected common mode level and the trimming devices are swept until the output of the sampler toggles. The measured offsets of 20 samplers on the experimental test chip are shown in Figure 4.33, both before and after calibration. The adjustment range of the tuning devices with a 1V common mode input is shown in Figure 4.34. The tuning range is reasonably linear and demonstrates the ability to correct sampler offset to within  $\pm 5$ mV.

The key challenge with static offset compensation in a sampler is maintaining the corrected input offset over operating condition variations. As shown in Figure 4.35, the compensation tracks well over temperature and even a 75°C temperature swing will only result in an offset change of a few millivolts. The gain of the sampler input transistors is

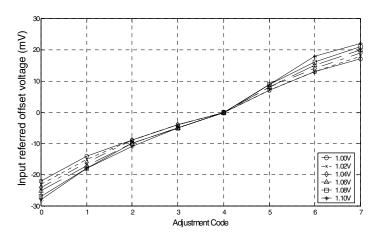


Figure 4.36: Sampler offset compensation stability versus input common-mode

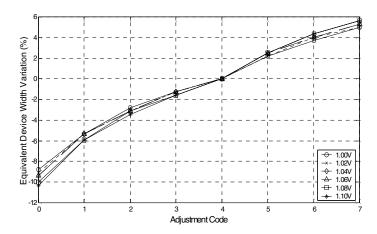


Figure 4.37: Sampler beta compensation stability versus common-mode

dependent on the gate overdrive. Therefore, changes in the common-mode level of the input signal will alter the offset compensation and translate common-mode noise into differential noise. The sensitivity of the sampler offset to common-mode changes is plotted in Figure 4.36. Transistor  $V_t$  variations are not the only sources of error, as  $\beta$  mismatches also contribute. In Figure 4.37 the width of one of the input devices is swept and the  $\Delta$ width that nullifies the offset due to the calibration circuit is plotted for each adjustment code. The resulting plot indicates that  $\beta$  mismatch compensation is quite stable in the presence of common-mode noise.

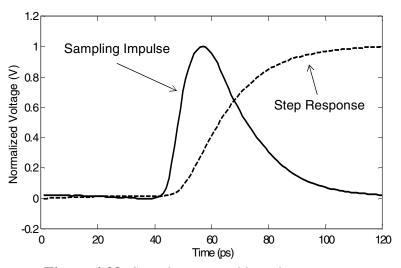


Figure 4.38: Sampler step and impulse responses

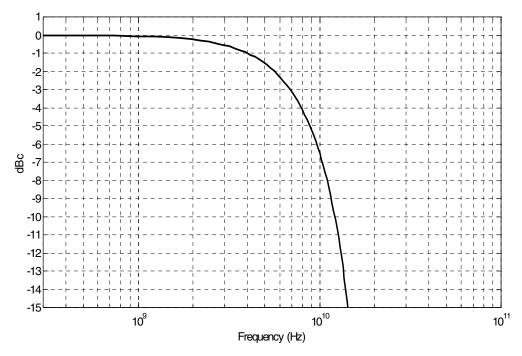


Figure 4.39: Frequency spectrum of sampling impulse

#### 4.3.2 Aperture

With a common mode voltage of 1.8V, the simulated aperture of the input latch used in the test chip is 15ps. It is difficult to drive the sampler with an ideal impulse function to measure the impulse response, so instead, a unit step response was measured and is plotted in Figure 4.38. This was then differentiated to yield the sampling impulse shown in the same plot. An FFT of the sampling impulse is shown in Figure 4.39 and indicates the -3dB frequency of the low-pass filter due to the sampling aperture is about 6.9GHz. This is sufficiently large as to not present a practical limit to the achievable timing accuracy of the system.

## 4.4 Floorplan

A micrograph of the test chip is shown in Figure 4.40. The PLL and DLL clock generators are pitch matched with the sampling channel wiring to minimize wiring cost. The analog input signals flow into the sampling channels from the left hand side and digital data flows out the right into synchronization blocks that down convert the data from 900MHz to 450MHz. The data processing of the chip is mirrored about the

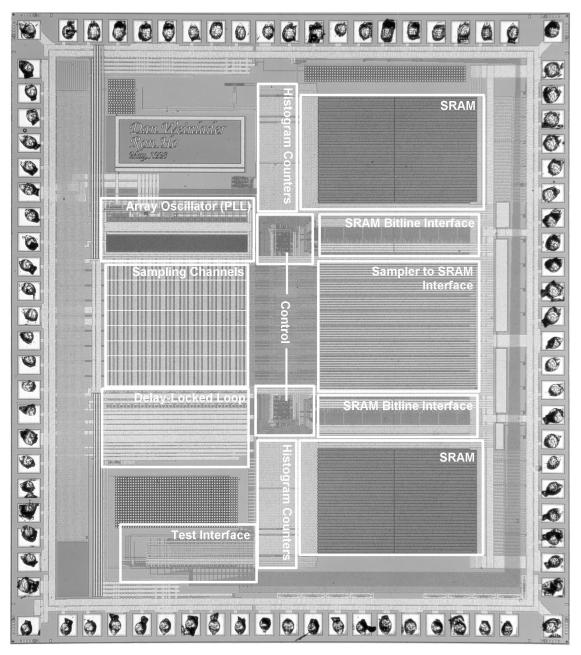


Figure 4.40: Experimental test chip micrograph

horizontal axis; the top four channels are processed by the upper right half of the chip and the lower four channels are processed by the lower right side of the chip.

While the experimental results indicate that it is indeed feasible to trade transistors for timing accuracy, the cost of the correction bit storage can be large if it is naively implemented as distributed registers, primarily because of the potential for non-uniform wiring. To minimize the cost of the correction bits, the storage is implemented as an SRAM that overlays the clock generators and sampling cells. The SRAM cells are directly wired to the tuning transistors and accessed in a standard manner with bit and word lines. The word line decoders are to the left of the sampling channels and the bit lines reside at the top of the PLL. All correction, configuration, and status bits on the part are memory mapped and accessed by the host computer through an interface that mimics that of a discrete SRAM. The design of both the correction bits and the digital interface yields a compact and regular layout that minimizes the cost of the digital compensation circuitry.

The calibration algorithms are implemented in software on a workstation for flexibility. A 20-bit data port interfaced to the workstation is used for compete read/write access to all configuration bits and control registers.

## 4.5 Summary

Static phase errors, timing jitter, sampler input offset voltage, and input capacitance are the primary challenges of implementing a CMOS oversampled receiver with high timing accuracy. Because of aggressive CMOS scaling, the most abundant resource available to address these problems is transistors. Static phase compensation is probably the most robust of the implemented techniques and results indicate that placing the nominal position of a clock edge to within a couple picoseconds is feasible. The phase tuning algorithm is driven by histogram counters that precisely measure phase offsets without introducing additional timing errors. In the test chip, the primary limitation to uniform phase spacing appears to be the complexity and size of the current-mode digitalto-analog converters used in the interpolators.

Jitter compensation functions well on the test chip but is in part limited by the sampling resolution. Higher resolution would also permit more accurate correlation measurement between channels. The correlation demonstrated on the test chip is quite high, but only measurable with significant and somewhat unrealistic supply noise. Nevertheless, the test results indicate that this is a promising technique and could even apply to fields other than testing, such as communication links.

Digital sampler offset compensation demonstrates the ability to reduce offset voltages to within  $\pm$  5mV. Effective offset compensation permits small sampler input devices and hence a higher input bandwidth. The technique used for offset compensation on the test chip works well for signals with a fixed common-mode voltage such as that generated by laboratory pulse generator, but is less effective for signals that have large common-mode noise. Offset compensation that better tracks the device mismatch mechanisms appears to be an area worthy of further research.

## **Integrated Testing**

"If you knew what you were doing, you'd probably be bored"

#### - Fresco's Law

As the number of devices on die continues to scale, it might become cheaper to integrate a small tester on each die, rather than do all the testing with an external device. This chapter investigates the new issues that need to be addressed in order to integrate testers onto production parts. Section 5.1 first examines what minimal amount of hardware is required on-chip to enable the acquisition of edge transition information. Techniques to minimize the impact of the receiver on the part without sacrificing timing accuracy are also presented. Compression and decimation are covered in Section 5.2 as methods for reducing the significant output data that even a minimal oversampling system can generate. A motivation for integrating part of the tester on-chip is to make testing easier, but before it can be used, the on-chip tester itself must be tested which is the subject of Section 5.3.

## 5.1 A Minimal Sampling System

On a large chip, there are most likely a large number of signals whose timing is of interest. Unfortunately, Murphy's Law all but guarantees that the signals are as physically dispersed as possible. This means that either multiple sampling systems are required on a die, or that the signals must be routed to a single, shared sampling system. While the cost of a sampling system is not high relative to the transistor budgets of large CMOS ICs, integrating multiple sampling systems on a single die is not a favorable option for two reasons. First, multiple sampling systems imply more transistors, which require area that is not only costly in terms of dollars but also increases the distance between blocks and thus the critical paths within the chip. Second, despite the techniques presented in Chapter 4 for contending with on-chip supply noise, it is still desirable to isolate the sampling system with wide substrate guard rings and substantial amounts of bypass capacitance.

Dropping a sampling system into the middle of a power-hungry and noisy digital block is probably not the most effective way of obtaining high timing accuracy. Therefore, it is advantageous to build a single sampling system that is relatively isolated on an edge of the die. This both minimizes its impact on existing circuitry and reduces timing errors due to noise coupled via the supply and substrate.

A single measurement circuit will have to contend with the uncertain delay in the wire and possible repeaters used to connect signals being instrumented to the sampling system. Even relatively short wires, as compared to a die size of  $1 \text{cm}^2$ , can produce a significant timing error because the propagation velocity of light in SiO<sub>2</sub> is about 7ps/mm. A 2mm wire would introduce an error comparable to the timing resolution of the sampling system presented in the previous chapter. Longer wires are worse and the minimum delay across a 1cm die, including repeaters, is about 400ps in a 0.25µm process. Obviously, highprecision timing measurements require a method to remove wire delay.

Differential timing measurements are a solution to this problem. Rather than measuring the timing of an edge transition relative to a absolute timing source, the edge is measured relative to the local clock that interfaces with that signal. This usually is a more useful measurement, because what is often of interest is setup and hold times of a circuit rather than the exact timing. If the clock and data both jitter together, then the setup and hold times are maintained, but this is not apparent if a local clock is not also measured. Therefore, by routing the local clock and signal of interest together across the chip, the delay of the interconnect, to first order, can be removed from the measurement. Differential measurements require an additional sampling channel and the resulting sampling system that represents the minimal hardware required is shown in Figure 5.1. Using the test chip presented in the previous chapter as a benchmark, such a sampling system can be built with about twenty thousand transistors and occupy an area of about 0.2mm<sup>2</sup> in a 0.25µm process technology.

While differential measurements allow accurate relative timing measurements for signals located in close proximity, there is still the issue of measuring the timing between signals located on physically disparate parts of a chip. An example would be to measure global clock skew. It is unreasonable to assume that it is possible to match the delays of all

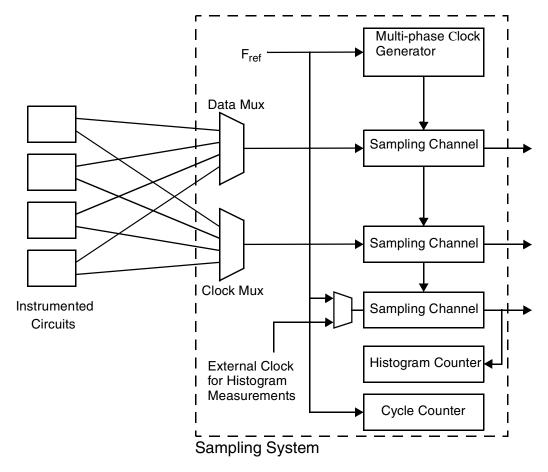


Figure 5.1: Interconnect between instrumented logic and sampling system

interconnections between the signal source and the sampling system. Therefore, this type of measurement requires that the delay of the interconnect be measured so that it can be subtracted from the measurements.

Measuring the delay of a signal path is a common problem in chip testers as the delay of the delay of the load board traces and test socket needs to be removed from the time measurements. Time domain reflectometry (TDR) is used to measure the delay by launching a pulse down the PCB trace and waiting for the edge to reflect. The time difference between the edge being launched and the reflection is twice the delay of the trace. Obviously, for this to work, the end of the transmission line must have a non-zero reflection coefficient,  $\Gamma$ . The problem with using this technique on-chip is that it requires the delay of the transmission line to be large compared to the edge rate of the pulse, and for the signal path to behave like a transmission line. Neither is necessarily true on-chip.

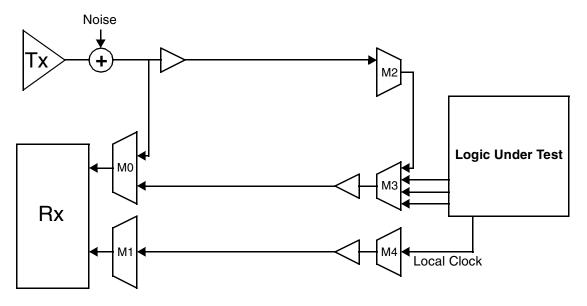


Figure 5.2: Topology for measuring interconnect delay

An alternative is shown in Figure 5.2. The presence of an additional signal path from the tester to the logic being tested allows a pulse to be sent on a round-trip, from the tester to the logic and back to the tester. The sampling system can measure both the launch and return time to establish the round trip delay. The delay between the sampling system and the logic is half the round trip delay. For a timing measurement between two signals located on different parts of the chip, each path needs to be measured separately and then subtracted from one another to yield the difference. This difference is then added or subtracted from the differential timing measurement to remove the effect of the interconnect. Like the differential measurement, the error caused by the wire depends on wire matching, rather than the wire length.

The details of the measurement technique are shown in Figure 5.2. Under normal operation, multiplexer M0 is configured to pass data from the logic under test. M3 selects the signal of interest and M1 and M4 match the delay of M0 and M3 so that the interconnect paths for clock and data are identical. This allows the previously described relative timing measurement between data and the associated local clock.

When measuring the interconnect delay, M0 is first set to pass the output of the Tx to the Rx so that an initial timing reference is established. Then M0 and M3 are configured to

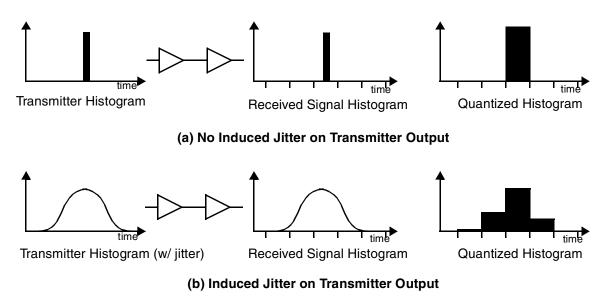


Figure 5.3: Increasing static delay measurement with induced jitter

pass the output of the Tx back to the Rx along the same path the data normally transits. The difference between these two measurements is the total round trip delay.

The finite resolution of the sampling system will result in a quantization error equal to half the sampling resolution,  $\tau$ . Because two measurements are required to establish the delay of the interconnect, one to measure the time the edge is sent and another to measure when the edge is received, the resulting peak error will be twice as large. Furthermore, consider the use of this delay measurement: it is subtracted from another path delay to yield a net difference. This subtraction will yet again double the error, resulting in a peak error of  $2\tau$ , which may be too large for some systems.

Interestingly, introducing a small amount of noise can reduce this error. The noise source shown in the upper left of Figure 5.2 decreases the quantization error of the system. If the Tx transmits a pulse with a small amount of jitter relative to the sampling resolution of the receiver, then the accuracy of the timing measurement will be limited by the sampling resolution as shown in Figure 5.3(a). However, introducing noise into the Tx so that a histogram of the sampled output spans multiple sampling bins increases the resolution of the measurement. By building a histogram of the captured edge, as shown in Figure 5.3(b), the center point of the histogram, which is the nominal location of the Rx clock edge, can be established to an arbitrarily high degree of precision by calculating the

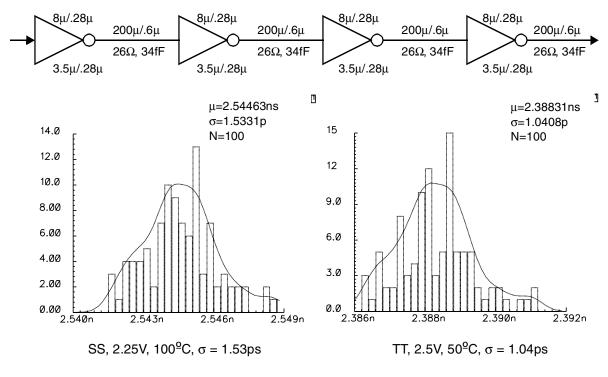


Figure 5.4: Matching for 800µm of interconnect

moment of the resulting data. The induced jitter need not have any specific histogram shape, but it is required that the histogram be symmetric unless more complex post-processing is performed.

While the controlled introduction of jitter into the transmitter is beneficial in some cases, jitter in the clock and data paths is not. For relative measurements, i.e. those that compare transitions to the local digital clock, the jitter is canceled out provided the two signal paths are constructed so that the buffers and multiplexers are physically close so they can share the same supplies and have the same substrate noise. However, for absolute measurements, i.e. those that attempt to subtract out the delay of the signal path connecting the logic under test and the tester, jitter in the signal path will reduce the accuracy of the measurement unless only an averaged value is desired.

In addition to jitter, static timing errors due to device mismatch can limit the timing accuracy of the measurement. But, if the signal path between the instrumented logic and the tester is full-swing static CMOS, it can match very well (to within a few picoseconds is reasonable). The  $1\sigma$  mismatch for a 4-inverter chain with 800µm of total metal load, as

shown in Figure 5.4, is simulated to be 1.5ps in the SS, low-voltage, high-temperature corner. This improves to 1ps in the TT corner primarily due to faster edge rates. Because there are two signal paths, the mismatch of interest is really the difference between the two, and applying a bit of statistics yields an expected mismatch of  $1.41\sigma$ , which is 2.1ps (SS) and 1.4ps (TT) for this example. The  $3\sigma$  variation, which is roughly the peak-to-peak difference, is 6.3ps for the SS case. This can be improved by increasing the size of the devices [30]. Mismatch between two adjacent signal paths can be measured by sampling the same clock with both paths and comparing the measured clock phase. For paths with unacceptably large timing mismatch, the error can be subtracted from the acquired data in a post-processing step.

### 5.2 Output Data

Processing and storage of the output data from an embedded oversampled receiver is a significant issue, because oversampling systems can generate large amounts of data. Depending on the application, acquired data may be stored either on-chip in an embedded memory, or in an external memory system. To increase the amount of information that can be stored in the memory, efficient encoding of the data is desirable.

### 5.2.1 Compression and Decimation

Compression is a common technique for reducing the size of a data set but not all data is equally compressible. The compressibility of data depends on its predictability which is quantified by the entropy of the data [12]. Repetitive data patterns have a large entropy and can be significantly compressed, while random data has no entropy and cannot be compressed by any algorithm. Fortunately, edge timing information from a DUT is not normally random because the output edges are synchronized to a clock. Therefore, edge transitions are lumped together in time rather than being randomly distributed over the period. For signals of this type, general compression algorithms (such as LZ77[39] or LZW[35]) are effective methods for reducing the size of the acquired data.

For applications where signal transitions are too random to compress, or the complexity of a compression engine is not acceptable, decimation is an alternative to reduce the data size. Rather than retaining all information as compression does,

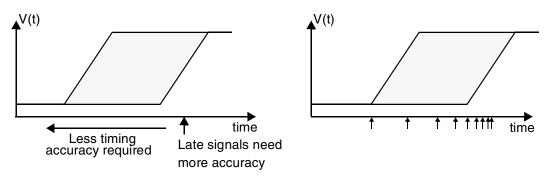




Figure 5.5: Logarithmically encoded edge information

decimation selectively discards sampler output data to reduce the bandwidth and memory requirements. The selection of the data that is retained as opposed to discarded determines the recorded signal characteristics and is therefore highly dependent on application.

For setup and hold checks, only the timing of the edges that proceed and follow the clock is required. This requires storing a maximum of two transition per cycle regardless of the number of transitions that actually occur. Superfluous edges are discarded. Data acquisition can be further improved by encoding the edge information with varying precision as shown in Figure 5.5a. The timing of data edges that fall close to the clock edge can be encoded with more information than those that fall father away. For instance, if the data input to a flip-flop arrives 1ns before the clock and the setup time is 200ps, then the signal has a large margin and it matters little if the arrival time is 1.1ns or 900ps before the clock edge. However, if the signal arrives only 250ps before the clock, a timing error of 100ps in the tester is very important. Logarithmically encoding edge transitions, as shown in Figure 5.5b, can be easily achieved in an oversampled system by discarding predefined samples. More precise logarithmic encoding is possible by skewing the phase of the sampling clocks in a logarithmic fashion to increase the timing accuracy of some sampling bins. A drawback to skewing the clocks is that unless the sampling system has per channel clock tuning capabilities, clock skew on a per-channel basis is not possible.

Selective edge capture is useful for setup and hold checks, but less so for capturing glitches and other high-speed phenomena. For this type of application, capturing all

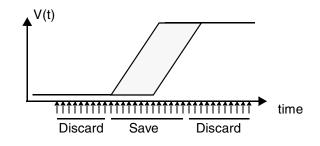


Figure 5.6: Window based decimation of sampler data

transitions over a fixed window within the period rather than looking for specific transitions results in more useful information. As shown in Figure 5.6, the sampling windows can be predefined and data outside the window discarded. The reduction in data size is set by the ratio of the bits stored versus those discarded. This was the approach used in the test chip, presented in Chapter 4, to reduce the data bandwidth by a factor of two. With only a small hardware cost (as shown by the test chip in the previous chapter), the sampling windows can be made individually programmable to allow sampling of signals that are not phase aligned.

For production test, complete edge information may not be required, and so the storage of output data can be reduced. What can be useful however, is to know how close an output signal is to being outside specification. A production tester can check for a valid logic level at a predefined time with a cycle in addition to performing edge detection and

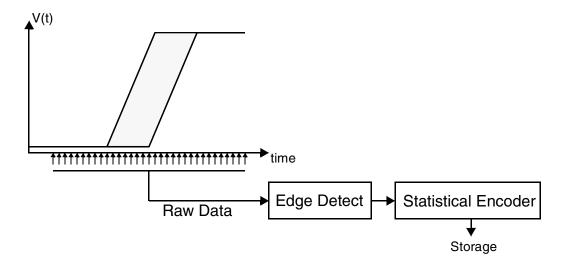


Figure 5.7: Edge detection and compression

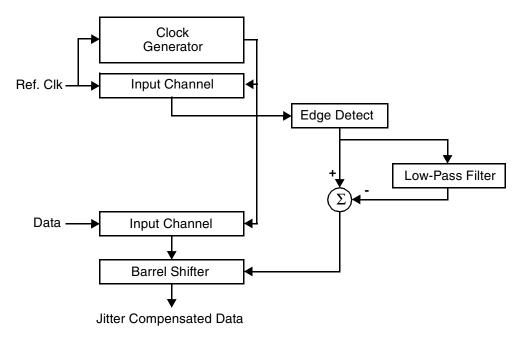


Figure 5.8: Real-time jitter compensation

record the cycles with the smallest margins. This can be useful when debugging datadependent timing errors and characterizing a part during production test. A simple application of this concept is shown in Figure 5.7. Edge detection logic locates the last valid transition and stores it in a compressed form using a statistical encoder such as a Huffman coder [16]. A Huffman coder is a general compression engine that analyzes a stream of numbers and codes the numbers that appear more frequently with fewer bits while using more bits for less frequent numbers.

### 5.2.2 Jitter Compensation

If all the sampled data is dumped to a memory for post-processing, then on-the-fly jitter correction is not required. However, if the data is processed and decimated in some form, then it is advantageous to preform the jitter correction prior to decimation to ensure that the correct information is retained. For instance, logarithmic encoding stores the data with varying resolution depending on how far the edge transition is from the expected transition point, but that difference is not known until after the jitter compensation has been applied.

Figure 5.8 shows a block diagram an for on-the-fly jitter compensation design. A dedicated input channel is used to sample the reference clock and the reference clock edge is detected and averaged by a low-pass averaging filter to calculate the nominal clock phase. The nominal clock phase is subtracted from the instantaneous phase to yield the jitter, which programs a barrel shifter to adjust the sampled data from the other sampling channels. The shifter need not be a complete barrel shifter as the shift operation is constrained to the maximum range of the jitter.

### 5.2.3 Edge Filtering

A high sample rate and slow input edge rate may result in non-monotonic transitions, such as 00010111, in the binary output stream due to sampling noise and sampler offsets. Low-pass filtering is required to extract the edge transitions and is quite simple when performed as a post-processing step. But if it must be done at speed, then it becomes expensive in terms of both area and power. A 3-bit majority vote is a good trade-off between performance and implementation cost. It converts the previous example of 00010111 into 00001111, to enable simple XOR edge detection. The amount of filtering required depends on the sampling resolution and input slew rate. For sampling of on-chip signals, simulation indicates edge filtering is not required unless the sampling resolution is finer than 0.25 of a FO-4 delay.

### 5.3 Testing the Tester

Embedding a tester on a VLSI part enables more enlightening and less expensive testing. However, a drawback is that the tester itself now needs to be tested. Making the inputs to the sampling channels externally accessible permits sampling of known data patterns and verification of the sampling clocks and input channels, in addition to sampler offset measurements. All registers should be externally read and writable to verify the functionality of the register and allow manual control of the corresponding adjustment. While not strictly needed for complete testing, it is useful to make the sampling clocks visible externally by multiplexing them onto a single output signal.

## **5.4 Conclusion**

Despite the ever-growing transistor budget for CMOS parts, it is still important to minimize the footprint of on-chip instrumentation. A proposed system that is a subset of the test chip uses a single sampling channel to permit instrumentation of the part. Multiple signals are multiplexed to the input of the sampling channel so that a wide variety of interesting nodes can be captured for test and debug. A problem with a shared sampling system is the potential need to route many signals a long distance across the die, as the wire and repeaters will introduce an uncertain delay. Two solutions to this problem have been presented: relative measurements that cancel the effect of the signal path, and a method for measuring the signal path so that its effect can be subtracted from the timing measurements. Both techniques require the ability to build parallel signal paths with a high degree of matching. Monte-Carlo simulations for a generic 0.25 $\mu$ m process indicates this is quite feasible as  $3\sigma$  matching of ~6ps is possible.

By definition, an oversampled receiver produces multiple data values for each expected transition. This is advantageous because the edge transitions in the data can be leveraged to characterize and, as described in the previous chapter, reduce jitter. A drawback of oversampled receivers with a high-sample-rate is the enormous amounts of data generated that must be either processed or stored. Processing may involve on-the-fly jitter compensation, edge detection and compression. Depending on the nature of the expected transitions, this processing can reduce the data bandwidth significantly. Storage of the results can be performed with a dedicated memory as in the case of the test chip, or with a more sophisticated solution such as reusing an already existing on-chip memory.

# Conclusion

"A conclusion is the place where you got tired of thinking."

#### - Unknown

The increasing performance and complexity of CMOS VLSI parts is making the testing and debug of these parts more difficult. One possible approach for dealing with the testing problem is to construct testers from the same CMOS technology as the parts being produced, and even integrate a small tester on each chip that is fabricated. Explored in this thesis are circuit and architecture techniques that enable one to build tester electronics with high timing accuracy in CMOS. Calibration is the key approach and is used to null out static offsets, both in voltage and time, that are intrinsic in a CMOS design.

Oversampled receivers provide useful timing information about for signal transitions, so this architecture forms the base of the pin electronics. The limitation of CMOS clock speed is overcome by using a multi-phase clock generator, so the timing resolution is set by the spacing between the clock phases and not by the clock frequency. Phase interpolation allows the generation of arbitrarily small phase spacings, however, numerous error sources limit the timing accuracy. Static phase offsets in the clock generators, caused by device and wire mismatch, normally limit the timing precision. Using adjustable interpolators to generate the clock phases, these errors are removed through a simple histogram calibration step. Precisely aligning the sampling clocks enables very high sampling rates. Phase tuning to within  $\pm 4\%$  of a FO-4 delay was achieved on a test chip and results indicate a refinement of the technique could yield at least double this accuracy.

Another source of static timing error is voltage offset in the input receivers. Calibrating these clocked input samplers maintains a small input-referred offset voltage while permitting the use of small devices on the sampler inputs. The later is important as it minimizes the input loading of receiver, which is critical to maintaining good signal integrity at high frequencies.

Having removed the static timing offsets, the timing resolution is now limited by the dynamic timing errors, which is called jitter. Power supply noise induced jitter can be significant on large VLSI parts. As shown in Chapter 4, an oversampled receiver allows the correction for internal clock jitter by sampling a high quality clock and then using this timing information to estimate the internal clock jitter. Thus, like static offsets, some of the jitter can be measured and removed from the sampled data. The technique is quite robust for removing lower frequency jitter created with an on-chip noise source, and might be effective with the higher frequency jitter as well. Unfortunately the timing resolution of the test receiver is insufficient to draw a strong conclusion on higher frequency jitter intrinsic to the part because of timing resolution limits. Preliminary results look promising and this is one area where further work is warranted.

Edge timing information is required for timing jitter compensation of the sampling clocks, but is also useful for test and debug as it allows more intelligent guard-bands of parts and provides additional insights into jitter characteristics during debug. This type of information is not available from traditional testers. The application of these techniques enables the integration of tester receivers with high timing accuracy on large VLSI parts. The cumulative result of this work is a tester receiver that can be integrated into production parts to provide edge transition information with high timing accuracy for potentially any signal on the chip.

There are many issues that should be explored to extend and improve this work. Test measurements indicate it is feasible to build a receiver with better timing resolution than what was achieved with the current test chip. Building an improved receiver would verify this improved resolution is possible, and would also allow more experiments to test the limits of jitter compensation. Another area where the test chip could be extended is in generating the support circuits to allow both static offset and jitter compensation to be performed on chip. Phase offset measurement can be performed with an integrated clock source, a dedicated input channel and a register bank for storing hit counts. On-chip phase calibration would allow one to test the stability of some of these 'static' error sources, since at least one of these sources is likely to drift with time.

While demonstrating a technique for implementing high-precision timing capabilities on a large CMOS chip has significant implications for chip testing, it would be naive to think this technology will have an immediate or widespread impact on testing techniques or methodology. This is due to many reasons, including industry momentum and the fear of significant changes in high-volume production parts. A more realistic expectation is to see such techniques slowly phased into low-volume, high-margin parts that require innovative test solutions because no other solutions exist. Such is the case with high-speed serializing/deserializing parts whose purpose is to communicate to a wide, slow data stream across a back-plane or optical fiber using a narrow, high-speed bus. As the test technology and techniques are refined in this limited area, use might expand into more conventional parts such as microprocessors. Even when this happens, it would most likely be the case that the integration is limited to the bare minimum needed to augment the external tester. And again, as this is refined, more of the tester could be integrated onto the die. The reader may question the willingness of designers to commit a large number of transistors to build on-chip testers, but it must be noted that what may seem like a large number of transistors today will seem insignificant in future years. And even today, significant concessions are made in terms of both performance and die area to increase the testability of designs. Scannable latches are slower than non-scan designs and take up more area, but that does not prevent their use. Large parts such as modern microprocessors have significant debug and test hardware included. Intel parts have long had undocumented modes and instructions for the purpose of debug, and the latest Compaq Alpha processor includes what could be best characterized as an on-chip logic analyzer.

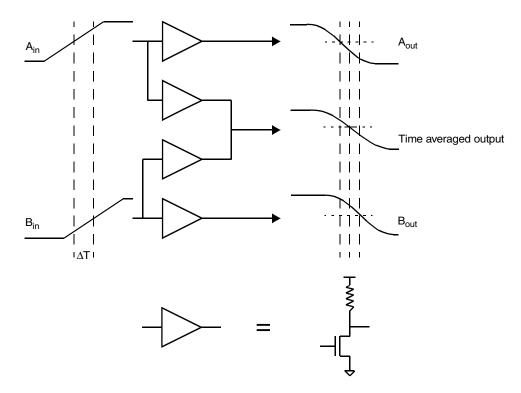
# Appendix A

# **Phase Interpolation**

Phase interpolation enables the generation of edges with finer resolution than what can be achieved with individual buffers. This is accomplished by blending two phase shifted edges to produce a new edge that transitions in-between the existing edges. An interpolating element composed of two buffers is shown in Figure A.1. The output is a superposition of two exponential RC curves formed by the merged driver output resistances, R, and the capacitive load, C. A model of the interpolator is shown in Figure A.2.

The interpolator output voltage as a function of time is given by Equation 3.1:

$$V_o(t) = V_{cc} + R \cdot I \cdot \left[ (1 - \alpha) \cdot u(t) \cdot \left( e^{-\frac{t}{RC}} - 1 \right) + \alpha \cdot u(t - \Delta t) \cdot \left( e^{-\frac{t - \Delta t}{RC}} - 1 \right) \right], \quad (3.1)$$



Appendix A.1: Interpolator operation

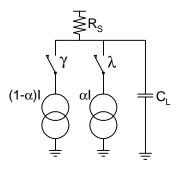


Figure A.2: Interpolator model

where  $\alpha$  is the ratio of the currents in the differential pairs,  $\Delta t$  is the phase difference between the inputs, I is the sum of the two tail currents and u(t) is the unit step function.

Because  $V_0(t)$  is a superposition of two time-shifted exponential waveforms, the output phase does not vary linearly with the current ratio  $\alpha$ . The amount of nonlinearity depends on the ratio of the phase difference of the input signals,  $\Delta t$ , and the output slew rate, which is set by the time constant RC. Figure A.3 plots the output of the interpolator for varying values of  $\Delta t/RC$ . As the  $\Delta t/RC$  ratio increases, slope of the output at midpoint increases. Increased slope causes an increase in sensitivity of interpolation output phase to

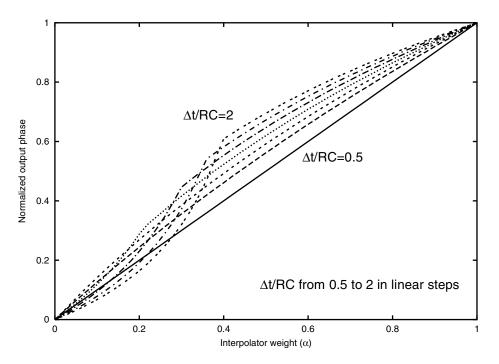


Figure A.3: Linearity of interpolator model with unit step inputs

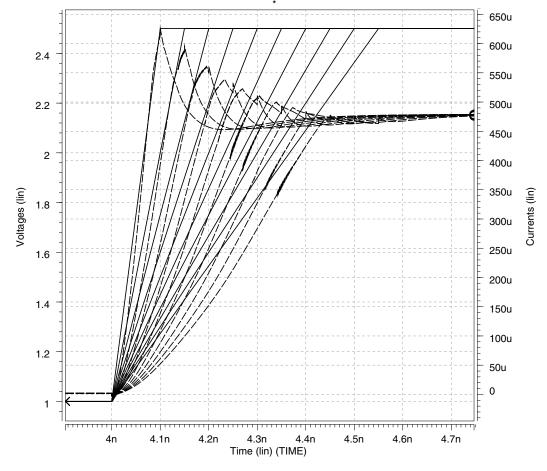


Figure A.4: Interpolator current versus input rise time

small variations in  $\alpha$ .

According to this model, significant interpolation nonlinearities are avoided by ensuring that the input phase spacing,  $\Delta t$ , should be at most equal to the output RC time constant. However, in practice, if the inputs are phase shifted by a buffer delay, the ratio of  $\Delta t$  to RC is not significant. This contradicts the data shown in Figure A.3 because that plot is generated assuming the inputs are step functions. A more reasonable assumption is that the current waveform has a finite risetime, where risetime is measured 10%-90%, related to the risetime of the input switching the current sources on. The simulated dependence of the current risetime to the input risetime is shown in Figure A.4. This SPICE generated plot shows that the current rise time is roughly approximated by risetime of the input voltage. Input rise times ranging from one FO-4 delay to four FO-4 delays are plotted for comparison.

Given this relationship between the input rise time and the interpolator current risetime, Equation 3.2 can be re-written as:

$$V_{o}(t) = V_{cc} + (1 - \alpha) \cdot \frac{I_{max} \cdot t}{\tau_{r}} \cdot R \cdot \alpha \cdot [u(t) - u(t - \tau_{r})] \cdot \left(e^{-\frac{t}{RC}} - 1\right) + \alpha \cdot \frac{I_{max} \cdot t}{\tau_{r}} \cdot R \cdot [u(t - \Delta t) - u(t - dt - \tau_{r})] \cdot \left(e^{-\frac{t - \Delta t}{RC}} - 1\right),$$
(3.2)

where  $\tau_r$  is the rise time of the input signal. If the interpolator inputs are unbuffered clocks generated by a single buffer delay, then  $\Delta t$  can be related to  $\tau_r$  by observing that the delay is roughly related to the rise time of the output by  $\Delta t = \frac{1}{2}\tau_r$ . Substituting this into (2) yields:

$$V_{o}(t) = V_{cc} + (1 - \alpha) \cdot \frac{I_{max} \cdot t}{\Delta t} \cdot R \cdot \alpha \cdot [u(t) - u(t - \Delta t)] \cdot \left(e^{-\frac{t}{RC}} - 1\right) + \alpha \cdot \frac{I_{max} \cdot t}{\tau_{r}} \cdot R \cdot [u(t - \Delta t) - u(t - 3\Delta t)] \cdot \left(e^{-\frac{t - \Delta t}{RC}} - 1\right).$$
(3.3)

Figure A.5 plots the linearity of this refined interpolator model. Because of the linearized current source, the significant nonlinearities apparent in Figure A.3 are no longer evident. This is because interpolation is not dependent on just  $\Delta t$  and the output RC time constant, but also on the input edge rate. To avoid significant nonlinearities, the ratio between  $\Delta t$  and either the input edge rate *or* the output edge should be less than or equal to 2. Interpolating directly between buffer input and outputs will assure robust operation because  $\Delta t = \frac{1}{2}\tau_r$  However, if the input edges are buffered, then this relationship may not be valid and care must be taken to ensure the input or output edge rate is constrained to be near twice  $\Delta t$ .

The modified interpolator model with linearized current sources is validated by the SPICE simulation data presented in Figure A.6. Because the analytical model does not model more complex transistor effects, it does not produce identical curves as the SPICE simulation. However, they are in agreement with on the key issue of the interpolation linearity.

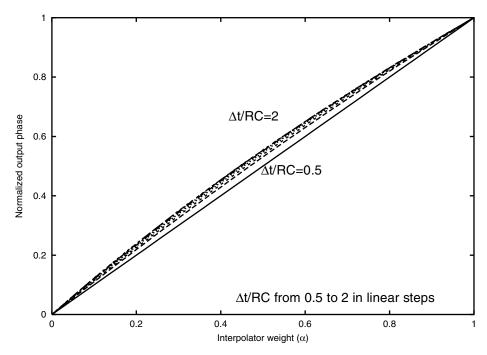


Figure A.5: Linearity of interpolator model with finite risetime inputs

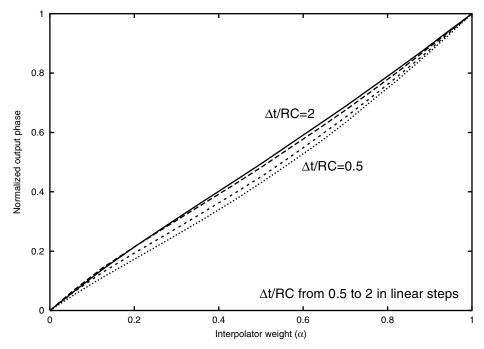


Figure A.6: Linearity of interpolator SPICE model

Appendix A: Phase Interpolation

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